

**RSPO PRINCIPLE AND CRITERIA
PUBLIC SUMMARY REPORT**☒ **Initial Assessment**☐ **Annual Surveillance Assessment** (Choose an item.)☐ **Recertification Assessment** (Choose an item.)☐ **Extension of Scope**

| |
|--|
| Client Company Name / Parent Company: Aoluk Oil Palm Collaborative Farming Community Enterprise |
| Client Company / Parent Company Address: 99 moo 2 Aoluk-Tai Sub-district Aoluk District, Krabi Province, 81110 Thailand |
| Certification Unit: Aoluk Oil Palm Collaborative Farming Community Enterprise |
| Location of Certification Unit: 99 moo 2 Aoluk-Tai Sub-district Aoluk District, Krabi Province, 81110 Thailand |
| Date of Final Report: 30/09/2024 |

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Section 1: Scope of the Assessment

| 1. Company Details | | | |
|--|--|---------------------------------|----------------------------|
| Parent Company | Aoluk Oil Palm Collaborative Farming Community Enterprise | | |
| RSPO Membership Number | 1-0383-22-000-00 | Membership Approval Date | 16 Jun 2022 |
| Address | 99 moo 2 Aoluk-Tai Sub-district Aoluk District, Krabi Province, 81110 Thailand | | |
| Palm Oil Mill / Group manager / Estate (Certification Unit) | Aoluk Oil Palm Collaborative Farming Community Enterprise | | |
| Location / Address | 99 moo 2 Aoluk-Tai Sub-district Aoluk District, Krabi Province, 81110 Thailand | | |
| Website | - | | |
| Management Representative | Mr. Jesada Choti Wattanasak | E-mail | Jetsada_c@asianpalmoil.com |
| Telephone | +668-1738-8573 | Facsimile | - |

| 2. Certification Information | | | |
|---|--|---|--|
| Certificate Number | RSPO 807816 | Certificate Start Date | 30/09/2024 |
| Date of First Certification | 30/09/2024 | Certificate Expiry Date | 29/09/2029 |
| Scope of Certification | Production of Sustainable Oil Palm Fresh Fruit Bunches of Independent Smallholders | | |
| Visit Objectives | <ul style="list-style-type: none"> Determination of the conformity of the client's management system, or parts of it, with audit criteria. Evaluation of the ability of the management system to ensure the client organization meets applicable statutory, regulatory and contractual requirements. | | |
| Assessment Cycle | <input type="checkbox"/> Pre Assessment (Choose an item.) <input checked="" type="checkbox"/> Initial Assessment <input type="checkbox"/> Annual Surveillance Assessment (ASA Choose an item.) <input type="checkbox"/> Recertification Assessment (Choose an item.) <input type="checkbox"/> Scope Extension | | |
| Applicable Standards / Normative Reference | RSPO Certification System for P&C and RSPO ISH 2020 <input checked="" type="checkbox"/> Independent Smallholder Standard 2019 | | |
| Supply Chain Module | <input checked="" type="checkbox"/> Identity Preserved; <input type="checkbox"/> Mass Balance | Mill Capacity | - |
| ISH certification Phase | <input type="checkbox"/> Eligibility <input type="checkbox"/> Milestone A <input checked="" type="checkbox"/> Milestone B <input type="checkbox"/> Not Applicable | | |
| Is this a remote audit or on-site audit | <input checked="" type="checkbox"/> On-site audit (Option AI) | <input type="checkbox"/> On-site audit (Option AII) | <input type="checkbox"/> Remote audit (Option B) |

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| 3. Other Certifications | | | |
|-------------------------|-------------|-----------------------|-------------|
| Certificate Number | Standard(s) | Certificate Issued by | Expiry Date |
| N/A | N/A | N/A | N/A |

| 4. Location(s) of Mill & Supply Bases | | | |
|---|--|-----------------|--------------|
| Name (Mill / Supply Base / Group manager / Smallholders) | Location | GPS Coordinates | |
| | | Latitude | Longitude |
| Aoluk Oil Palm Collaborative Farming Community Enterprise | 99 moo 2, Aoluk-Tai Sub-district, Aoluk District, Krabi Province, 81110 Thailand | 8°22'56.69"N | 98°43'0.76"E |

| 5. Description of Supply Base | | | | | |
|---|--|-------------|-----------------------------------|--------------------|-----------------|
| New Planting Development | <input checked="" type="checkbox"/> No | | <input type="checkbox"/> Yes | | |
| Estate / Smallholders | Total Planted (Mature + Immature) (ha) | HCV (ha) | Infrastructure & Other (ha) | Total Area (ha) | % of Planted |
| Aoluk Oil Palm Collaborative Farming Community Enterprise (33 New members) | 177.12 | 0 | 3.04 | 180.16 | 98.31 |
| Total | 177.12 | 0 | 3.04 | 180.16 | 98.31 |
| Note: <ul style="list-style-type: none"> 33 group members, with 60 Oil palm plots planted. The total certified area differs from RSPO's confirmation for liability crosscheck and public announcement due to variations in land title records, which reflect updates, adjustments, and discrepancies in documentation over time. | | | | | |

| 6. Plantings & Cycle | | | | | | |
|--|------------------|--------------|--------------|--------------|---------------|-------------|
| Estate / Smallholders | Age (Years) - ha | | | | Mature | Immature |
| | 0 - 3 | 4 - 14 | 15 - 25 | >25 | | |
| Aoluk Oil Palm Collaborative Farming Community Enterprise | 2.82 | 58.39 | 67.55 | 48.36 | 174.30 | 2.82 |
| Total (ha) | 2.82 | 58.39 | 67.55 | 48.36 | 174.30 | 2.82 |
| Note: Only Mature area is considered as production area | | | | | | |

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| 7. Summary of Certified Tonnage of FFB (Own Certified Scope) | | | | |
|---|------------------------------|----------------------------------|---------------------------------|------------------------------------|
| Estate / Smallholders | Tonnage (MT) / year | | | |
| | Estimated last year (N/A) | Actual (N/A) | | Forecast (Oct 2024 – Sept 2025) |
| | | Previous license period (N/A) | Current license period (N/A) | |
| Aoluk Oil Palm Collaborative Farming Community Enterprise | N/A | N/A | N/A | 2,971.82 |
| Total | N/A | N/A | | 2,971.82 |
| Note: <ul style="list-style-type: none">This is initial audit and the group certified MS B. Therefore the FFB that can be claim as certify is 100% of 2,971.82 tFFBForecast used yield is 17.05 tFFB/ha (2.73 tFFB/Rai). | | | | |

| 8. Summary of Certified Tonnage of FFB (from other certified unit(s)) | | | | |
|---|--|--|---|-----------------------------|
| Estate / Smallholders | Tonnage (MT) / year | | | |
| | Estimated last year (key in period) | Actual (key in period) | | Forecast (key in period) |
| | | Previous license period (key in period covered) | Current license period (key in period covered) | |
| NA | | N/A | N/A | |
| Total | | N/A | | |
| Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A. | | | | |

| 9. Summary of Non-Certified Tonnage of FFB (outside supplier – excluded from certificate) | | | | |
|---|--|--|---|-----------------------------|
| Out growers / smallholders | Tonnage (MT) / year | | | |
| | Estimated last year (key in period) | Actual (key in period) | | Forecast (key in period) |
| | | Previous license period (key in period covered) | Current license period (key in period covered) | |
| N/A | N/A | N/A | N/A | N/A |
| Total | N/A | N/A | | N/A |
| Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A. | | | | |

| 9A. Monthly Records of Certified and Uncertified FFB Received since the last audit | | | | |
|--|--------------|---|---|----------------------|
| No. | Month - Year | Volume of FFB from certified supply base (mt) | Volume of FFB from uncertified supply base (mt) | Total FFB/Month (mt) |
| N/A | N/A | N/A | N/A | N/A |
| TOTAL | | N/A | N/A | N/A |
| Note: This table is not applicable for ISH. | | | | |

| 10. Summary of Certified Tonnage (MT) (not applicable for ISS) | | | |
|--|--|---|-----------------------------|
| Estimated last year (key in period) | Actual (key in period) | | Forecast (key in period) |
| | Previous license period (key in period covered) | Current license period (key in period covered) | |
| FFB | FFB | | FFB |
| N/A | N/A | N/A | N/A |
| | TOTAL | N/A | |
| CPO (OER: %) | CPO (OER: %) | | CPO (OER: %) |
| N/A | N/A | N/A | N/A |
| | TOTAL | N/A | |
| PK (KER: %) | PK (KER: %) | | PK (KER: %) |
| N/A | N/A | N/A | N/A |
| | TOTAL | N/A | |
| Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A. | | | |

| 10A. Monthly Records of Certified CPO & PK since the last audit | | | |
|--|--------------|--------------------|-------------------|
| No. | Month - Year | Certified CPO (MT) | Certified PK (MT) |
| N/A | N/A | N/A | N/A |
| TOTAL | | N/A | N/A |
| Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A. | | | |

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| 11. Summary of Actual Volume sold | | | | | |
|--|----------------|-------------------------|--------|--------------|-------|
| Current License period (key in period) | | | | | |
| | RSPO Certified | Other Schemes Certified | | Conventional | Total |
| | | ISCC | Others | | |
| CPO (MT) | N/A | N/A | N/A | N/A | N/A |
| PK (MT) | N/A | N/A | N/A | N/A | N/A |
| Credits | N/A | N/A | N/A | N/A | N/A |
| Previous License period (key in period) | | | | | |
| CPO (MT) | N/A | N/A | N/A | N/A | N/A |
| PK (MT) | N/A | N/A | N/A | N/A | N/A |
| Credits | N/A | N/A | N/A | N/A | N/A |
| Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A. | | | | | |

| 11A. Records of Certified CPO & PK Sold under PalmTrace since the last audit (if any) | | | | |
|--|-------------|----------------------------------|-------------------------|------------------------|
| No. | Buyers Name | PalmTrace Trading License Number | Certified CPO Sold (MT) | Certified PK Sold (MT) |
| N/A | N/A | N/A | N/A | N/A |
| TOTAL | | | N/A | N/A |
| Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A. | | | | |

| 11B. Records of certified CPO & PK Sold under other schemes since the last audit (if any) | | | | |
|--|-------------|-------------|-------------------------|------------------------|
| No. | Buyers Name | Scheme Name | Certified CPO Sold (MT) | Certified PK Sold (MT) |
| N/A | N/A | N/A | N/A | N/A |
| TOTAL | | | N/A | N/A |
| Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A. | | | | |

| 11C. Records of CPO & PK Sold as conventional since the last audit (if any) | | | |
|--|-------------|---------------|--------------|
| No. | Buyers Name | CPO Sold (MT) | PK Sold (MT) |
| N/A | N/A | N/A | N/A |
| TOTAL | | N/A | N/A |
| Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A. | | | |

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| 11D. Records of Certified CPO Sold under RSPO Credits since the last audit (if any) | | | |
|---|-------------|----------------------------------|------------------------------------|
| No. | Buyers Name | PalmTrace Trading License Number | RSPO Credits of Certified CPO Sold |
| N/A | N/A | N/A | N/A |
| TOTAL | | | N/A |

Note: This table is not applicable for ISH. Fill up details in table 12, 12A, 13 and 13A.

| 12. Independent Smallholders Certified Tonnage (MT) / Volume | | | | | | | | | |
|--|---------------------------|------|------|--------------|------|------|---------------------------------|------|----------|
| | Estimated last year (N/A) | | | Actual (N/A) | | | Forecast (Oct 2024 – Sept 2025) | | |
| Phase | Eligibility | MS A | MS B | Eligibility | MS A | MS B | Eligibility | MS A | MS B |
| | 40% | 70% | 100% | 40% | 70% | 100% | 40% | 70% | 100% |
| FFB | | | N/A | | | N/A | | | 2,971.82 |
| IS-CSPO | N/A | N/A | | N/A | N/A | | N/A | N/A | |
| IS-CSPKO | N/A | N/A | | N/A | N/A | | N/A | N/A | |
| IS-CSPKE | N/A | N/A | | N/A | N/A | | N/A | N/A | |
| CSPK | N/A | N/A | | N/A | N/A | | N/A | N/A | |

| 12A. Monthly Records of Certified CPO, PK & PKE (equivalent) produced since the last audit | | | | | | |
|--|--------------|----------|--------------------|-------------------|--------------------|--------------------|
| No. | Month - Year | FFB (MT) | Certified CPO (MT) | Certified PK (MT) | Certified PKO (MT) | Certified PKE (MT) |
| N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| TOTAL | | N/A | N/A | N/A | N/A | N/A |

Note: 1 mt = 1 credit

| 13. Independent Smallholders Actual Sold Tonnage / Volume | | | | | | | |
|---|-----|------------------|-------------------|---------|------|----------|----------|
| | FFB | FFB Conventional | FFB Other schemes | IS-CSPO | CSPK | IS-CSPKO | IS-CSPKE |
| Current License period (key in period) | | | | | | | |
| Credits | | | | N/A | N/A | N/A | N/A |
| Physical | N/A | N/A | N/A | | | | |
| Previous License period (key in period) | | | | | | | |
| Credits | | | | N/A | N/A | N/A | N/A |
| Physical | N/A | N/A | N/A | | | | |

| 13A. Records of Certified FFB, CPO, PK & PKE (including credits) sold since the last audit | | | | | | | |
|--|-------------|----------------------------------|---------------|--------------------------------|-------------------------------|--------------------------------|--------------------------------|
| No. | Buyers Name | PalmTrace Trading License Number | FFB Sold (MT) | Certified CPO Sold (MT/credit) | Certified PK Sold (MT/credit) | Certified PKO Sold (MT/credit) | Certified PKE Sold (MT/credit) |
| N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| TOTAL | | | N/A | N/A | N/A | N/A | N/A |
| Note: Not applicable according to this is initial audit. | | | | | | | |

Section 2: Assessment Process

Certification Body:

BSI Services Malaysia Sdn. Bhd. (ASI Accreditation Number: ASI-ACC-067)

Suite 29.01 Level 29, The Gardens North Tower,

Mid Valley City, Lingkaran Syed Putra,

59200 Kuala Lumpur, Malaysia.

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BSI is a leading global provider of management systems assessment and certification, with more than 92,000 certified locations and clients in over 193 countries. BSI is the UK's National Standards Body. BSI provides independent, third-party certification of management systems. BSI is ASI Accredited (ASI-ACC-067) to conduct RSPO assessment since 31/10/2014 with accredited office located at Kuala Lumpur, Malaysia.

2.1 Assessment Methodology, Programme, Site Visits

The on-site assessment was conducted on **01-02 Jul 2024**. The audit programme is included as Section 2.3. Where applicable, prior to the initial certification and recertification audit, 30 days Public Notification was made through the RSPO and BSI website on **21 May 2024 – 21 Jun 2024**.

The approach to the audit was to treat the independent smallholders as an RSPO Certification Unit. A range of occupational health and safety, environmental, best practice management, and social factors were covered. This includes consideration of topography, palm age, proximity to areas with HCVs, declared conservation areas, local communities engagement and workers welfare and safety.

The Critical NC close out on-site assessment was conducted on **17 Sep 2024**. The audit programs are included in Section 2.3.

The methodology for collection of objective evidence included physical site inspections, observation of tasks and processes, interviews of staff, workers and their families and external stakeholders, review of documentation and monitoring data. Independent Smallholder Standard 2019 was used as the normative reference to assess compliance. The comments made by external stakeholders were also taken into account in the assessment.

The minimum sample size is four estates. Sample size for certification unit with more than four (4) estates were determined based on formula $N = (\sqrt{y}) \times (z)$ where y is the number of estates and where z is the multiplier defined by risk assessment. While, the sampling of smallholders were based on the formula $(\sqrt{y}) \times (z)$; where y is total number of group member and where z is the multiplier defined by the risk assessment. The sampled smallholder listed in Appendix E.

Meetings were held with stakeholders to seek their views on the performance of Aoluk Oil Palm Collaborative Farming Community Enterprise with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and these have been incorporated into the assessment findings.

Structured worker interviews with male and female workers and staff were held in private at the workplace in the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local

communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as Section 3.4.

The non-conformities for this audit are detailed in Section 3.3 and unless it is stated in this section, all previous nonconformities including minor nonconformities are remains closed.

This report is structured to provide a summary of assessment finding as provided in Appendix A. The assessment was conducted based on risk based approach sampling and therefore nonconformities may exist.

For Initial, Re-certification and Extension of Scope assessment, the report was externally reviewed by approved external peer reviewer prior to certification decision by BSI.

For Annual surveillance assessment, the report was internally reviewed and approved by BSI qualified certification reviewer.

The following table would be used to identify the locations to be audited each year in the 5 year cycle

| Assessment Program | | | | | |
|---|-------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Name (Mill / Supply Base) | Year 1 (MSB) | Year 2 (ASA1) | Year 3 (ASA2) | Year 4 (ASA3) | Year 5 (ASA4) |
| Aoluk Oil Palm Collaborative Farming Community Enterprise | X | X | X | X | X |

Tentative Date of Next Visit: August 1, 2025 - August 2, 2025

Total Number of Mandays: 7.0

2.2 BSI Assessment Team

| Name | Role | Competency |
|-----------------|-------------|--|
| Chongrak Takard | Team Leader | <p>Education: Bachelor of Science: Plant Protection (Plant Pathology) from Maejo University, Thailand in 1998, and a Master of Arts: Human Resource Development (HRD) from Ramkhamhaeng University, Thailand in 2016.</p> <p>Work experience: More than 10 years of working experience where makes her familiar with local/regional knowledge of local laws, also undergone ISO9001, SA8000, TLS, SMETA, Social Second party audit (social audit), has been working in the agriculture sector for more than 10 years prior to joining BSI group. 5 years working experience as Sustainability Auditor for RSPO P & C, RISH and SCC Scheme.</p> <p>Training attended: Successfully completed the Quality Management System Auditor/Lead Auditor Course ISO9001:2015, ISO14001:2015 Lead auditor IRCA 14154 EMS, BS OHSAS 18001:2007 standard, CQI-IRCA Certified: PR356: OHSMS ISO 45001:2018 Lead Auditor Conversion Training Course, Thai Labour Standard TLS Lead auditor TLS 8001, SA8000 Basic auditor Course and SA8000 Advances Auditor Course, ESEP Lead auditor course, Endorsed RSPO P&C Lead Auditor Course, Refresher course for Endorsed RSPO P&C Lead Auditor, RSPO ISH Training (RISS 2019) as part of the qualification for RSPO Auditors in fulfilling the requirements of 4.8.6(f) of the RSPO Certification System 2020 and Endorsed RSPO SCCS Lead Auditor.</p> <p>Language proficiency: Fluent in Thai language and moderate in English.</p> |

| | | |
|-------------------|--|---|
| | | <p>Aspect covered in this audit:</p> <p><input type="checkbox"/> Good Agriculture Practice <input checked="" type="checkbox"/> Health and Safety <input checked="" type="checkbox"/> Social <input checked="" type="checkbox"/> Environmental</p> <p><input checked="" type="checkbox"/> ISH context (ICS, internal audit, policy, business planning and trading system)</p> |
| Jutamas Gunthong | Team Member and TL under supervision by Chongrak | <p>Education: Hold a Bachelor degree of Science (Fisheries), Kasetsart University, Thailand and Master degree of Science in Aquaculture and Aquatic Resources Management, Asian Institute of Technology, Thailand.</p> <p>Work Experience: Over 12 years of working experience as consultant/trainer of conformity assessment standards (e.g. ISO/IEC 17065, ISO/IEC 17021-1) and ICS for group certification of agricultural scope (Food crops, Rice, Aquatic animals) including certification of oil palm plantation. She also has audit experience and technical knowledge for audit GAP/Organic standards which according to ISO 19011.</p> <p>Training attended: Successfully completed the Quality Management System Auditor/Lead Auditor Course ISO 9001:2015, ISO 14001:2015, OHSAS 18001 (now ISO 45001), Endorsed RSPO P&C Lead Auditor Course, RSPO SCCS Lead Auditor course, RSPO ISH Training (RISS 2019) and also conformity assessment such as ISO/IEC 17020, ISO/IEC 17024, ISO/IEC 17065 and ISO/IEC 17021-1</p> <p>Language proficiency: Fluent in Thai language and moderate in English.</p> <p>Aspect covered in this audit:</p> <p><input checked="" type="checkbox"/> Good Agriculture Practice <input checked="" type="checkbox"/> Health and Safety <input checked="" type="checkbox"/> Environmental</p> <p><input checked="" type="checkbox"/> ISH context (ICS, internal audit, policy, business planning and trading system)</p> |
| Supiwat Nentakong | Team member | <p>Education: Bachelor of Science (Fisheries) KASETSART University, Thailand in 1998.</p> <p>Work Experience: He is oil palm plantation owner. Hence, he has more than 10 years' experience in working in the palm oil sector and more than 5 years working experience as RSPO P & C, RISH and SCC Auditor and 1 years working experience as RSPO Lead Auditor of P & C, RISH and SCC scheme before joining with BSI group.</p> <p>His knowledge in agriculture sector (oil palm) has been evaluated through interview and demonstrated by directly involved in managing oil palm belong to his family.</p> <p>Training attended: Completed ISO 9001 Lead Auditor Course, Endorsed RSPO P&C Lead Auditor Course, Endorsed RSPO P&C Lead Auditor Refresher Course and RSPO ISH Training (RISS 2019) as part of the qualification for RSPO Auditors in fulfilling the requirements of 4.8.6(f) of the RSPO Certification System 2020 on 14 -16 Mar 2022 by RSPO, Endorsed RSPO SCCS Lead Auditor course, ISO 14001 Lead Auditor course and ISO 45001 Lead Auditor course.</p> <p>Language proficiency: Fluent in Thai language and moderate in English</p> <p>Aspect covered in this audit:</p> <p><input checked="" type="checkbox"/> Good Agriculture Practice <input checked="" type="checkbox"/> Health and Safety <input checked="" type="checkbox"/> Social <input checked="" type="checkbox"/> Environmental</p> <p><input checked="" type="checkbox"/> ISH context (ICS, internal audit, policy, business planning and trading system)</p> |

| | | |
|------------------------|---------------|--|
| Jettana Insuwan | Team Member | <p>Education: Bachelor's degree of Environmental science ,Silpakorn University and Bachelor's degree of Occupational health and safety ,Sukhothai Thummathirat university</p> <p>Work Experience: She is an oil palm plantation owner and worked at Oil Palm Mill, including Group manager of the independent smallholder (ISH) Smothong Sustainable palm oil Production community enterprise and Sustainable palm oil production (Thachana-Chaiya) community enterprise. Hence, she has more than 5 years' experience working in the palm oil sector and more than 3 years of working experience as RSPO P & C, RISH, and SCC internal auditor before joining with BSI group. Her knowledge in the agriculture sector (oil palm) has been evaluated through interviews and demonstrated by being directly involved in managing oil palm belonging to her family.</p> <p>Training attended: Successfully completed the Quality Management System Auditor/Lead Auditor Course ISO9001:2015, ISO14001:2015,ISO 45001:2018, Endorsed RSPO P&C Lead Auditor Course, Refresher course for Endorsed RSPO P&C Lead Auditor, RSPO ISH Training (RISS 2019)</p> <p>Language proficiency: Fluent in Thai language and moderate in English.</p> <p>Aspect covered in this audit:</p> <p><input checked="" type="checkbox"/> Good Agriculture Practice <input checked="" type="checkbox"/> Health and Safety <input checked="" type="checkbox"/> Environmental</p> <p><input checked="" type="checkbox"/> ISH context (ICS, internal audit, policy, business planning and trading system)</p> |
| Dr. Suhaili Bin Sahari | Peer Reviewer | <p>Education: Graduated from University Technology Mara (UiTM), Malaysia in Diploma in Science in 1990. He furthers his first degree in B.Sc (Hons) in Chemistry with Industrial Chemistry in 1995 from Liverpool University, England. He later advances his study in Master in Business Administration (General) in 2002 and graduated in 2005 from University of Multimedia, Malaysia. Completed his PhD from University Science Islam Malaysia (Faculty of Economy and Muamalat - Management) under the supervision of previous University Vice Chancellor Dato' Mohd Muda.</p> <p>Work Experience: Worked with Hong Leong Group of Companies as a production executive cum TQM facilitator and continues to advance in his career as a manager and senior manager in management, production, training and quality for more than 11 years. During his tenure with Hong Leong Group of Companies, he heavily involved in strategic management decision issues such as developing SWOT analysis, Vision, Mission, Business and Corporate Strategy formulation, Acquisition and restructuring strategies. Strategic actions and implementation etc. Then he joint Kumpulan Guthrie and Sime Darby Group of Companies for more than 7 years as a manager, heads of department and Assistant Vice President in management, quality and training. Part of his duty is to strategies the departmental vision; mission, critical success factors and action plan into actions and support the corporate strategic plan.</p> <p>Training attended:</p> <ol style="list-style-type: none"> 1. ISO 9001:2015 Lead Auditor and Internal Auditor 2. ASI reviewer training 3. Safety and Health 4. ISO 14001:2015 Standard |

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| | | 5. RSPO Standards: RSPO P&C 2018 MY-NI 2019 6. MSPO Standards: MS 2530:2013 part 1, 2 , 3 and 4 7. Problem Solving Technique: 8 D, ICC, QCC, Systematic PS 8. HACCP MS 1480:2019 9. GAP Standard: Global GAP, Euro GAP. |
|--|--|---|

Accompanying Persons:

| Name | Role |
|------|------|
| N/A | N/A |

2.3 Assessment Plan

The Assessment plan was sent to the client prior to the assessment

| Date | Time | Subjects | Chongrak (TL) | Supiwat (TM) | Jettana (TM) | Jutamas (TM) |
|-----------------------|-------------|---|------------------|-----------------|-----------------|-----------------|
| 01/07/2024 (Day 1) | 09:00-10:00 | Description of activity for each day, including travelling/flight detail, opening meeting, documentation review, site visit, closing meeting, etc. | √ | √ | √ | √ |
| | 10:00-12:00 | Field visit at the 8 smallholders' plantations Interview with smallholders and Observation on harvesting, agrochemicals and fertilizers application, land use rights, land conflict, boundary demarcation, riparian zone protection, wastes management, occupational safety risk management, etc. | √ (2 Plots) | √ (2 Plots) | √ (2 Plots) | √ (2 Plots) |
| | 13:00-14:00 | Field visit at the 4 smallholders' plantations Interview with smallholders and Observation on harvesting, agrochemicals and fertilizers application, land use rights, land conflict, boundary demarcation, riparian zone protection, wastes management, occupational safety risk management, etc. | √ (1 Plot) | √ (1 Plot) | √ (1 Plot) | √ (1 Plot) |

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| Date | Time | Subjects | Chongrak (TL) | Supiwat (TM) | Jettana (TM) | Jutamas (TM) |
|------|-------------|---|------------------|-----------------|-----------------|--------------------|
| | 14:00-17:30 | Group Manager/Internal Control System (ICS A-B) <ul style="list-style-type: none"> Review of members' profiles & key management documents Document Audit: Public documents, SOPs, Policies, LUCA & Rap, Internal audit, Production & traceability requirements, FFB pricing, legal and other requirements, review on SEIA documents and records, wage records, complaint records, workers records, training records, permits, continual improvement plan, etc. | | | √ | √ (14:00-15:30) |
| | | Group Manager: P1-P2 Document review: Land use type, customary right land, complaints and grievance, training record such as GAP, BMP, group meeting documents, registration document for group members, financial records, yield record, documentation of risk assessments, records of sales transactions (if any), documentation of the formation and management of smallholder groups, etc. | | | √ | √ |
| | 14:00-17:30 | Group Manager: Internal Control System (ICS C-D) Document review: Business Plan, Group meeting report, training record, financial document, Land use type, customary right land, complaints and grievance, safe work practices, employment conditions, training and competency, environmental management plan, energy usage, waste management plan, natural water resources, HCV, ERT awareness, zero burning, best practices, site management and development of new planting (if any) | √ | √ | | √ (15:30-17:30) |
| | 12.00-13.00 | Lunch Break | All | | | |
| | 17.30-18.00 | Interim closing briefing of Day 1 | All | | | |

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| Date | Time | Subjects | Chongrak (TL) | Supiwat (TM) | Jettana (TM) | Jutamas (TM) |
|-----------------------|-------------|---|------------------|-----------------|-----------------|-----------------|
| 02/07/2024 (Day 2) | 09:00-10:00 | Stakeholders' consultation (surrounding communities, government agencies, contractors, vendors, NGO, etc.) (Onsite or Phone: make an appointment with stakeholders to meet and request information or, in the case of inconvenience, to meet using the telephone method) 1) Head of Khao Yai Subdistrict Administrative Organization 2) Agricultural Extension Officer of Aoluk District Agricultural Office 3) Headman, Village No. 2 Aoluk Tai Subdistrict 4) Mayor of Aoluk Tai Subdistrict 5) Owner of Oil Palm ramp Note: The list is subject can be changed at work site. | √ | √ | √ | √ |
| | 10:00-12:00 | Cont. Group Manager/Internal Control System (ICS A-B) and P1-P2 <ul style="list-style-type: none"> Review of members' profiles & key management documents Document Audit: Public documents, SOPs, Policies, LUCA & Rap, Internal audit, Production & traceability requirements, FFB pricing, legal and other requirements, review on SEIA documents and records, wage records, complaint records, workers records, training records, permits, continual improvement plan, etc. | | | √ | √ |
| | | Cont. Group Manager: ICS C-D and P3-P4 Document review: Land use type, customary right land, complaints and grievance, safe work practices, employment conditions, training and competency, environmental management plan, energy usage, waste management plan, natural water resources, HCV, ERT awareness, zero burning, best practices, site management and development of new planting (if any) | √ | √ | | |

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| Date | Time | Subjects | Chongrak (TL) | Supiwat (TM) | Jettana (TM) | Jutamas (TM) |
|------|-------------|---|---------------|--------------|--------------|--------------|
| | 13:00-15:00 | Cont. Group Manager: ICS C-D and P3-P4 Document review: Land use type, customary right land, complaints and grievance, safe work practices, employment conditions, training and competency, environmental management plan, energy usage, waste management plan, natural water resources, HCV, ERT awareness, zero burning, best practices, site management and development of new planting (if any) | √ | √ | √ | √ |
| | 15:00-16:00 | Prepared closing meeting | | | | |
| | 16:00-17:00 | Closing meeting and end of Initial audit | | | | |
| | 12:00-13:00 | Lunch Break | All | | | |

NOTES:

List of sampled group Members: Total 12 group members

| No. | Member ID | Member Name | Plot No. | Location | | | GPS Coordinates | | Area Summary (Ha) | | Auditor team |
|-----|------------|---------------------|--------------|--------------|----------|----------|-----------------|---------------|----------------------|--------------|--------------------|
| | | | | Sub-district | District | Province | Latitude | Longitude | Total Certified Area | Planted Area | |
| 1 | ALK-KB-002 | Aree Leetanakul | ALK-KB-002-1 | Khao Yai | Aoluk | Krabi | 8°26'50.6" N | 98°45'26.3" E | 8.20 | 7.03 | Jettana 01/07/2024 |
| 2 | ALK-KB-006 | Chinda Thaithong | ALK-KB-006-3 | Khao Yai | Aoluk | Krabi | 8°31'57.8" N | 98°47'58.5" E | 1.74 | 1.74 | Jettana 01/07/2024 |
| 3 | ALK-KB-008 | Kitja Saengariyanan | ALK-KB-008-1 | Laem Sak | Aoluk | Krabi | 8°20'17.8" N | 98°39'22.4" E | 6.81 | 6.81 | Jutamas 01/07/2024 |
| 4 | ALK-KB-013 | Oangkhana Yilak | ALK-KB-013-3 | Aoluk Nuea | Aoluk | Krabi | 8°25'26.5" N | 98°49'00.3" E | 2.36 | 2.36 | Jettana 01/07/2024 |
| 5 | ALK-KB-014 | Panit Tarangkoon | ALK-KB-014-3 | Aoluk Tai | Aoluk | Krabi | 8°26'21.4" N | 98°41'00.7" E | 2.60 | 2.60 | Supiwat 01/07/2024 |
| 6 | ALK-KB-017 | Pradit Sawatdee | ALK-KB-017-1 | Aoluk Tai | Aoluk | Krabi | 8°23'43.5" N | 98°42'32.7" E | 5.40 | 5.40 | Supiwat 01/07/2024 |
| 7 | ALK-KB-018 | Prakhong Sakulsuan | ALK-KB-018-2 | Aoluk Tai | Aoluk | Krabi | 8°23'49.6" N | 98°42'58.8" E | 2.12 | 2.12 | Supiwat 01/07/2024 |
| 8 | ALK-KB-020 | Prasit Phrmraksa | ALK-KB-020-1 | Aoluk Nuea | Aoluk | Krabi | 8°25'36.7" N | 98°48'32.7" E | 3.26 | 3.26 | Jutamas 01/07/2024 |
| 9 | ALK-KB-022 | Prathip Sakunkaeo | ALK-KB-022-1 | Aoluk Nuea | Aoluk | Krabi | 8°25'44.8" N | 98°47'01.4" E | 1.46 | 1.46 | Jutamas 01/07/2024 |

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| No. | Member ID | Member Name | Plot No. | Location | | | GPS Coordinates | | Area Summary (Ha) | | Auditor team |
|-----|------------|--------------------|--------------|--------------|----------|----------|-----------------|---------------|----------------------|--------------|---------------------|
| | | | | Sub-district | District | Province | Latitude | Longitude | Total Certified Area | Planted Area | |
| 10 | ALK-KB-024 | Sahai Chuaikhongma | ALK-KB-024-2 | Aoluk Tai | Aoluk | Krabi | 8°25'17.1" N | 98°43'10.7" E | 0.56 | 0.56 | Chongrak 01/07/2024 |
| 11 | ALK-KB-027 | Somnuek Suksaeng | ALK-KB-027-1 | Na Nuea | Aoluk | Krabi | 8°30'09.0" N | 98°40'26.5" E | 1.48 | 1.48 | Chongrak 01/07/2024 |
| 12 | ALK-KB-030 | Sunee Sritape | ALK-KB-030-1 | Aoluk Tai | Aoluk | Krabi | 8°22'46.9" N | 98°43'07.2" E | 0.95 | 0.95 | Chongrak 01/07/2024 |

Closing NCs on site

| Date | Time | Subjects | CHONGRAK |
|----------------------|--------------|--|----------|
| Tuesday, 17 Sep 2024 | 09.00-09.15 | Opening briefing by the audit team leader | √ |
| | 10:00-12:00 | Sampling onsite and interview (3 sampling) ALK-KB-024-2 (Sahai C.) or ALK-KB-002-1 (Aree L.) or ALK-KB-028-1 (Somnuek S.) and also the subcontractors | |
| | 09.15 -12.00 | Verification of effective implementation of corrective and corrective action for 5 Major NCs 1. 2515215-202407-M1, Critical, B.1.1 MS B 2. 2515215-202407-M2, Critical, D.3.1 E 3. 2515215-202407-M3, Critical, 2.1 E 4. 2515215-202407-M4, Critical, 3.5 MS A 5. 2515215-202407-M5, Critical, 4.3 E. | √ |
| | 12.00-13.00 | Lunch break | |
| | 13.00-16.00 | Cont. Verification of effective implementation of corrective and corrective action from morning | √ |
| | 16.00-17.00 | Closing meeting | |

Section 3: Assessment Findings

3.1 Multiple Management Units and Time Bound Plan

| Requirement | Assessment | Compliance |
|---|------------------------|----------------|
| Does the time bound plan include all current subsidiaries, estates and mills that is under the control and/or minor shareholding of the holding company? | Not applicable for ISH | Not Applicable |
| Have all the estates and mills certified within five (5) years after obtaining RSPO membership? If no, has RSPO Secretariat approval obtained? Was the submission according to the template as announced by the RSPO Secretariat on 21 December 2020/21? | Not applicable for ISH | Not Applicable |
| Have there been any new acquisitions? If yes, the new acquisitions shall be certified within three (3) years from the date of acquisition. Certification plan for the new acquisition shall be available. If no, has RSPO Secretariat approval obtained? Was the submission according to the template as announced by the RSPO Secretariat on 21 December 2020/21. | Not applicable for ISH | Not Applicable |
| Any deviations from the maximum periods requires approval by the RSPO Secretariat. | Not applicable for ISH | Not Applicable |
| Have there been any changes to the time-bound plan since the last audit (both new acquisition and existing)? If yes, justification is required. Is this consistent with the ACOP reporting? | Not applicable for ISH | Not Applicable |
| Have there been any isolated lapses in implementation of the plan? If yes a Minor non-compliance shall be raised | Not applicable for ISH | Not Applicable |
| Have there been any fundamental failure (e.g. unable to justify delay in planning the assessments) to proceed with implementation of the plan? If yes a Major non-compliance shall be raised | Not applicable for ISH | Not Applicable |
| Un-Certified Units or Holdings | | |
| No replacement of primary forest or any area required to maintain or enhance HCVs and HCS in accordance with RSPO P&C criterion 7.12. | Not applicable for ISH | Not Applicable |
| Any new plantings since January 1 st 2010 shall comply with the RSPO New Plantings Procedure. | Not applicable for ISH | Not Applicable |
| Any Land conflicts are being resolved through a mutually agreed process, such as RSPO Complaints System or Dispute Settlement Facility, in accordance with RSPO P&C criteria 4.4, 4.5, 4.6, 4.7 and 4.8. | Not applicable for ISH | Not Applicable |

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|---|------------------------|----------------|
| Any Labor disputes are being resolved through a mutually agreed process, in accordance with RSPO P&C criterion 4.2 | Not applicable for ISH | Not Applicable |
| Any Legal non- compliance is being addressed through measures consistent with the requirements of RSPO P&C criteria 2.1 | Not applicable for ISH | Not Applicable |
| Did the company conduct internal audit for those uncertified estates against the uncertified management units requirement and covering the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12? If yes, a positive assurance statement shall be available and justified. | Not applicable for ISH | Not Applicable |
| Are there any Critical (Major) non-compliance raised against any of the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12 during the last internal audit of the uncertified estates? If yes is the NC(s) actively addressed with RSPO? | Not applicable for ISH | Not Applicable |
| Have there been any stakeholder (including NGO) consultation conducted? | Not applicable for ISH | Not Applicable |

3.2 Progress of scheme smallholders and/or outgrowers

| Progress of scheme smallholders or outgrowers towards compliance with relevant standards | | |
|--|------------------------|----------------|
| Requirement | Remarks | Compliance |
| Has 100% of scheme smallholders and/or scheme outgrowers comply with the standard within three years of the mill's initial certification? OFI shall be raised if after one year where 100% of the scheme smallholders and scheme outgrowers are not in compliance, a minor NC after two years, and a major NC if this requirement is not met after three years. | Not applicable for ISH | Not Applicable |

Approved Time Bound Plan

Not applicable for Independent Smallholders Certification.

3.3 Details of Nonconformities

The nonconformities are listed below. The findings summary of the assessment by criteria are listed in Appendix A.

During this Assessment there were five (5) Critical; 0 Minor nonconformities and 0 Opportunity For Improvement raised. The Aoluk Oil Palm Collaborative Farming Community Enterprise Certification unit submitted Corrective Action Plans for the nonconformity. Corrective action plans with respect to the nonconformity was reviewed by the BSI audit team and accepted.

The implementation of the Corrective Actions for the Critical Nonconformity(ies) has been verified for its effectiveness and closed accordingly. The below is the summary of the non-conformity raised during this assessment.

| Non-conformity | | | |
|--|--|--------------|-------------|
| NCR Ref # | 2515215-202407-M1 | Issued Date | 02 Jul 2024 |
| Due Date | 01 Jul 2025 | Closure Date | 17 Sep 2024 |
| Indicator & Category (Critical / Minor) | B.1.1 MS B/ Critical | | |
| Statement of Nonconformity: | The process of internal auditing and corrective action is not effective. | | |
| Requirement Reference: | B.1.1 MS B; A group Internal Control System is available for operational management including procedures of expulsion and sanctions for members who fail to comply, and a procedure to conduct internal audits. | | |
| Objective Evidence: | <p>From reviewing the results of the group's internal audit for the year 2023, the following non-conformities were found:</p> <ol style="list-style-type: none"> 1. Evidence of the internal audit for every member was not complete in all requirements. For example, there was no audit for items 3.3 – 3.5. 2. Internal audit results were found to be inconsistent with actual practices, such as: <ul style="list-style-type: none"> • In the case of capturing map coordinates, some members' boundaries did not cover the actual area. For instance, member numbers ALK-KB-002, ALK-KB-006, and ALK-KB-020. However, the internal audit results for all members indicated no defects in this matter. • In the case of non-conformity from the audit of group managers, section B.1.1 MSB, dated 28 Mar 2024, it was stated that all members had not yet been audit. However, upon examining the evidence of the members' plot assessments, it was found that all member had completed the internal audit between November and December 2023. | | |
| Corrections: | <ol style="list-style-type: none"> 1. Complete the internal assessment by adding the missing topics 3.3-3.5 for all members and prepare an internal assessment report. Ensure it is documented by July 31, 2024. 2. Review and update member maps to ensure they are accurate and aligned with actual coordinates by conducting field inspections of boundaries, ensuring all member areas are covered, and updating data by August 31, 2024. 3. Re-assess the group manager for Section B.1.1 MSB, summarize the assessment results, and inform the group committee of the revised actions by July 31, 2024. | | |

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| Root Cause Analysis: | <p>Since this was the team's first internal audit, the focus was primarily on palm plantation practices, and the team lacked experience in auditing according to specific requirements. Although the team had received training on the audit process, errors were made in recording the assessments.</p> |
| Corrective Actions: | <ol style="list-style-type: none"> 1. Explain the identified defects to all internal audit teams and provide training and a review of audit principles to the assessment team to minimize errors or omissions in important areas as required by the standard. 2. Establish a requirement for annual inspections of palm plantation area coordinates for internal monitoring of members, and present the findings at the annual members' meeting. 3. Ensure the internal monitoring of members and group managers is reviewed at least once a year by the group management committee. |
| Assessment Conclusion: | <p>From the onsite assessment, it was found that corrections and corrective actions have been implemented.</p> <ol style="list-style-type: none"> 1. The group conducted a new internal assessment, including the previously missing topics 3.3–3.5, from 2-23 August 2024, covering a total of 33 members. These included Mr. Samran Thaithong, who was assessed on 2/8/2024, along with Mr. Somnuek T., Mr. Arthorn C., and Mr. Sawai C. No NC were found in the assessment results. 2. From the review of the 2nd assessment of 2024, it was confirmed that all internal assessments for members were complete and met the required standards. <ul style="list-style-type: none"> - The committee appointed a team to conduct the 2nd assessment of 2024 from 2-23 August 2024, covering 33members, with no non-conformities (NCs) or observations found. - Upon reviewing the internal assessment list (PorMor-Bor-01), it was found that the group had revised and added questions regarding the employment of contract workers, household workers, and regular workers, fulfilling topics 3.3–3.5. <ul style="list-style-type: none"> - For example, Member Ms. Prakong was assessed on 5/08/2024 by Mr. Statit Phromraksa with no NC found. - Member Mr. Arthorn was assessed on 2/08/2024 by Mr. Somnuk, with no NC found. 3. The committee reviewed and verified the plantation maps of all four members and found discrepancies between the maps and actual areas. These were corrected as follows: <ul style="list-style-type: none"> - Member ID ALK-KB-002-1 (Aree L. A): Total area = 8.2 ha, Planted area = 7.03 ha(onsite follow up to confirmed the data and mapping was consistency. - Member ID ALK-KB-002-2 (Aree L. B): Total area = 0.96 ha, Planted area = 0.16 ha (onsite follow up to confirmed the data and mapping was consistency. - Member ID ALK-KB-009-1 (Kunpisit N. A): Total area = 3.29 ha, Planted area = 3.29 ha - Member ID ALK-KB-021-1 (Prasan M. A): Total area = 3.53 ha, Planted area = 3.09 ha (onsite follow up to confirmed the data and mapping was consistency. |

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| | <p>- Member ID ALK-KB-028-1 (Sathit P. A): Total area = 2.65 ha, Planted area = 2.65 ha</p> <p>4. The group conducted another internal quality assessment for new group managers on 30/07/2024. The internal quality assessment team, announced on 30/07/2024, included Mr. Somnuk, Mr. Pradit, Ms. Nantana, Mr. Arthorn, and Ms. Phichya. The inspection results found no NC.</p> <p>5. The meeting minutes from 24/07/2024 and 30/08/2024 were reviewed. Both internal assessment reports for members and the group committee showed no inconsistencies. Recommendations were reviewed, and all members and plots were inspected on 23/08/2024.</p> <p>Based on evidence verification, the non-conformity is found to be effectively closed on 17 Sep 2024, with sufficient evidence of implementation. Continuous implementation will be further verified in the next surveillance audit.</p> |
|--|--|

| Non-conformity | | | |
|---|--|--------------|-------------|
| NCR Ref # | 2515215-202407-M2 | Issued Date | 02 Jul 2024 |
| Due Date | 01 Jul 2025 | Closure Date | 17 Sep 2024 |
| Indicator & Category (Critical / Minor) | D.3.1 E/ Critical | | |
| Statement of Nonconformity: | Agreement and communication on how to use the special compensation money, agreement between the group and members has not yet been clearly implemented. | | |
| Requirement Reference: | D.3.1 E; The group and Group manager have agreed on how the premiums should be used and the agreement is recorded and communicated to the group members. Prices, premiums, and timing of premium payment are clearly communicated and transparent to all group members. Premiums disbursed to members at all stages are recorded and the premiums are paid in a timely and convenient manner. | | |
| Objective Evidence: | From sampling inquiries with group members and verification of the group's manual documents, including the record of the annual general meeting on 7/03/2024, it was found that members still do not know or understand how the premium price will be used. The agreement regarding this matter, including the amount of the premium price and the timing of such payment, will be clearly recorded and communicated to the group members. | | |
| Corrections: | The group must convene a meeting of the entire committee by July 31, 2024, and include this issue in the meeting agenda to reach a consensus on the allocation of group income. This decision will be documented, and the benefits distribution will be communicated to the members, either during the next general meeting or via other accessible channels such as the group's LINE application. It is required that this matter be reviewed annually at the general members' meeting. | | |
| Root Cause Analysis: | Previously, the group had no income and relied on funding from various supporting organizations during its early stages. As a result, the committee did | | |

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| | not consider the allocation of income to the members and did not document it accordingly to align with the preliminary criteria (E) D3.1. |
| Corrective Actions: | Incorporate the agreement reached in the meeting regarding income allocation into the group manual, ensuring it is reviewed annually. This topic will be made a regular agenda item at the annual general members' meeting. |
| Assessment Conclusion: | <p>From the onsite assessment, it was found that corrections and corrective actions have been implemented. it was found that corrective and preventive actions were taken. The committee held a meeting on 24/07/2024 to finalize the payment method for members with the following agreements:</p> <ul style="list-style-type: none"> - Internal group operating expenses = 40% - Dividends to members = 30% - Committee remuneration = 15% - Member welfare fees = 10% - Various public benefits = 5% <p>The announcement was made to all members on 01/08/2024 via the LINE group. Currently, there are no objections from members, and they will be informed again during the 2024-2025 annual meeting.</p> <p>Based on evidence verification, the non-conformity is found to be effectively closed on 17 Sep 2024, with sufficient evidence of implementation. Continuous implementation will be further verified in the next surveillance audit.</p> |

| Non-conformity | | | |
|--|---|---------------------|--------------------|
| NCR Ref # | 2515215-202407-M3 | Issued Date | 02 Jul 2024 |
| Due Date | 01 Jul 2025 | Closure Date | 17 Sep 2024 |
| Indicator & Category (Critical / Minor) | 2.1 E/ Critical | | |
| Statement of Nonconformity: | The plot map coordinates for group members have been inaccurately collected and recorded. | | |
| Requirement Reference: | 2.1 E; Smallholders provide the coordinates or maps of their plots and evidence of ownership, or rights to use the land (refer to appendix 1). | | |
| Objective Evidence: | From verification of planting areas and maps of planting plot locations, it was found that some plots of sampled members did not match the actual planting plots. For example, the plots of members ALK-KB-024-2 (Sahai C.), ALK-KB-002-1 (Aree L.), and ALK-KB-028-1 (Somnuek S.) did not match the maps. This issue was also observed in the plots of members ALK-KB-006-3, ALK-KB-020-2, and others. | | |
| Corrections: | The data officer and farmer advisors will visit the field to review and correct the member plot maps to ensure they are accurate and reflect the actual coordinates. They will inspect the boundaries to cover the actual areas of all members and update the information by August 31, 2024. | | |
| Root Cause Analysis: | When the group was first established, the provincial agricultural office provided support under the "Large Plot" program, and GIZ conducted training on RSPO-related content while preparing the necessary documentation for RSPO membership. Additionally, they helped create the coordinates and plot shapes for | | |

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| | the group. However, in the process of creating the plot shapes, they may not have physically visited the sites but rather used land title documents as references, which led to some discrepancies between the actual plot shapes and coordinates. |
| Corrective Actions: | To prevent recurrence, plot coordinates and shapes must be re-verified during the group's annual internal monitoring at least once a year. For new plots or when members add new plots, the coordinates and plot shapes will be required as part of the application process. |
| Assessment Conclusion: | <p>From the onsite assessment, it was found that corrections and corrective actions have been implemented. It was found the committee reviewed and verified the plantation maps of all four members and found discrepancies between the maps and actual areas. These were corrected as follows:</p> <ul style="list-style-type: none"> - Member ID ALK-KB-002-1 (Aree L. A): Total area = 8.2 ha, Planted area = 7.03 ha(onsite follow up to confirmed the data and mapping was consistency. - Member ID ALK-KB-002-2 (Aree L. B): Total area = 0.96 ha, Planted area = 0.16 ha (onsite follow up to confirmed the data and mapping was consistency. - Member ID ALK-KB-009-1 (Kunpisit N. A): Total area = 3.29 ha, Planted area = 3.29 ha - Member ID ALK-KB-021-1 (Prasan M. A): Total area = 3.53 ha, Planted area = 3.09 ha (onsite follow up to confirmed the data and mapping was consistency. - Member ID ALK-KB-028-1 (Sathit P. A): Total area = 2.65 ha, Planted area = 2.65 ha <p>Based on evidence verification, the non-conformity is found to be effectively closed on 17 Sep 2024, with sufficient evidence of implementation. Continuous implementation will be further verified in the next surveillance audit.</p> |

| Non-conformity | | | |
|--|--|---------------------|--------------------|
| NCR Ref # | 2515215-202407-M4 | Issued Date | 02 Jul 2024 |
| Due Date | 01 Jul 2025 | Closure Date | 17 Sep 2024 |
| Indicator & Category (Critical / Minor) | 3.5 MS A/ Critical | | |
| Statement of Nonconformity: | No evidence was found of contractor training records. | | |
| Requirement Reference: | 3.5 MS A; Smallholders, workers, and family members complete training and aware of health and safety risks associated with farm work, (including that of pesticide use) and how to mitigate them | | |
| Objective Evidence: | No evidence was found of training for contractors on work safety in the palm plantations of plots of group member ID ALK-KB-002, ALK-KB-006 and ALK-KB-013, etc., and there was no record of household labor data. | | |
| Corrections: | Assign the farmer advisors to conduct safety training or provide safety briefings directly to the contractors while they are working in the field. This must be completed by July 31, 2024. | | |

| | |
|-------------------------------|--|
| Root Cause Analysis: | The reason is that contractors/employees have limited working hours and do not have time to attend the training sessions set by the group. Additionally, contractors/employees often have a fixed work schedule. |
| Corrective Actions: | <p>1. The group will inform members to ensure that contractors working in their plantations attend the training sessions that are relevant to labor practices during each member training event.</p> <p>2. If contractors/employees are unable to attend the training as mentioned in point 1, the trained members must relay and explain the necessary safety information to the contractors/employees before they start working. A record of the training/briefing must be signed and documented in the member's logbook, which should be available for review during internal audits or when submitting quarterly reports. Follow-up on contractor training will also be conducted during the group's annual internal audit.</p> |
| Assessment Conclusion: | <p>From the onsite assessment, it was found that corrections and corrective actions have been implemented.</p> <ul style="list-style-type: none"> - The group manager provided training for all casual workers from 11/07/2024 to 08/08/2024 on Health and safety, Labor practice, Environment practice , such as: - On date 12/07/2024, training the casuals workers team of member ALK-KB-020, Mrs. Prakong Sakunsuan for example casuals workers trained in record as Mr. Ponchai S., Mr. Wichian S., Mr. Prasit S., Ms. Witthayaporn S., Ms. Buaban P., Mr. Nikhom C were attended - 21/07/2024, training the casual workers of member ALK-KB-006 (Chinda T.) Training for casual workers such as Mr. Suwarat K., Mr. Natthphon K., Ms. Chonticha K., Ms. Somjit C., Ms. Nittaya M. were attended - 08/08/2024, training the casual workers team of member ALK-KB-013 Ms. Angkana Yi-lak, such as Mr. Jiradech Suthi was attended. <p>All of training record were available to reviewed at group manager and Log book of each members. Including the updated all casual worker lists were updated on 30 Aug 2024.</p> <p>Based on evidence verification, the non-conformity is found to be effectively closed on 17 Sep 2024, with sufficient evidence of implementation. Continuous implementation will be further verified in the next surveillance audit.</p> |

| Non-conformity | | | |
|--|--|---------------------|--------------------|
| NCR Ref # | 2515215-202407-M5 | Issued Date | 02 Jul 2024 |
| Due Date | 01 Jul 2025 | Closure Date | 17 Sep 2024 |
| Indicator & Category (Critical / Minor) | 4.3 E/ Critical | | |
| Statement of Nonconformity: | The group members do not provide all planned new planting information to group managers. | | |

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| Requirement Reference: | Criteria 4.3: New planting of independent smallholders, since November 2019 |
| Objective Evidence: | From the verification of information and random inspection of planting plots, it was found that some members did not provide the new planting plan information to the group manager in order to review and follow-up on the implementation of the group's requirements manual. |
| Corrections: | <ol style="list-style-type: none"> 1. Members who have replanted in their existing plots must provide evidence of the new replanting activities to the group. This documentation will be stored internally, and an assessment will be conducted according to the new planting process. Members must submit replanting evidence and assessments to the group by August 15, 2024. 2. The group manager will clarify the replanting process to all members, emphasizing the need to inform the group of any replanting plans to ensure the assessment aligns with the requirements. An official letter outlining this procedure will be sent to all members by August 15, 2024. 3. Members who have replanted must inform relevant stakeholders about the replanting and return the completed interview evaluation form to the group by August 15, 2024. |
| Root Cause Analysis: | The cause of the issue stems from a misunderstanding in the interpretation of the requirements during the assessment of replanting areas. The group mistakenly believed that "new planting areas" referred only to areas where palm oil trees had never been planted before and were converted to palm plantations. However, the group was only following up on the felling of old palms for replanting without conducting the necessary assessments. |
| Corrective Actions: | <ol style="list-style-type: none"> 1. A review will be conducted by creating a palm felling survey form for members, covering the past five years. This form will be used at the annual general meeting for all members, and the issue will be reviewed yearly during the general meeting to keep members informed. Any changes in member information will also be communicated via the group's LINE messaging platform. 2. A procedure for replanting palm trees will be created and added to the group's manual. |
| Assessment Conclusion: | <p>From the onsite assessment, it was found that corrections and corrective actions have been implemented.</p> <ul style="list-style-type: none"> - Group updated the Group manual on 08 Sep 2024 by revised and update Information data notice and communication to all members via Group line application lasted on 20 Aug 2024 - Reviewed the record of member ID 027-2 Plots 2, found group Members submitted the Changed information request to group on 25 Jul 2024 - Group had to evaluated on Risk assessment , HCV Assessment, SEIA Assessment on 02 Aug 2024 by Group committee, result was comply with requirement. - From verified training record and sampling to interview of casual workers representative as Mr. Chaiwat T. found his team (6 casual workers) they were trained on safety, Envi, labor practice lasted on 5 Aug 2024 by Group manager committee, including he can demonstrate |

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| | <p>on Health and safety, Labor practice, Grievance compliant and etc. as well.</p> <p>Based on evidence verification, the non-conformity is found to be effectively closed on 17 Sep 2024, with sufficient evidence of implementation. Continuous implementation will be further verified in the next surveillance audit.</p> |
|--|---|

| Positive Findings | |
|-------------------|-------------|
| PF # | Description |
| PF 1 | None |

3.3.1 Status of Nonconformities Previously Identified and Opportunity for Improvement

Not applicable, according to this is an initial audit

3.3.2 Summary of the Nonconformities and Status

| CAR Ref. | Category (Critical / Minor) | P&C Indicator | Issued Date | Status & Date (Closure) |
|-------------------|-----------------------------|---------------|-------------|-------------------------|
| 2515215-202407-M1 | Critical | B.1.1 MS B | 02 Jul 2024 | Closed/17 Sep 2024 |
| 2515215-202407-M2 | Critical | D.3.1 E | 02 Jul 2024 | Closed/17 Sep 2024 |
| 2515215-202407-M3 | Critical | 2.1 E | 02 Jul 2024 | Closed/17 Sep 2024 |
| 2515215-202407-M4 | Critical | 3.5 MS A | 02 Jul 2024 | Closed/17 Sep 2024 |
| 2515215-202407-M5 | Critical | 4.3 E. | 02 Jul 2024 | Closed/17 Sep 2024 |

3.4 Stakeholders and previous land owner / user consultation

Stakeholder consultation involved internal and external stakeholders. External stakeholders were contacted by telephone to arrange meetings at a location convenient to them to discuss Aoluk Oil Palm Collaborative Farming Community Enterprise Certification Unit's environmental and social performance, legal and any known dispute issues.

Meetings were conducted with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and later was verified with the management team. Any comment which is not complying to the RSPO ISH standard requirements have been incorporated as an assessment finding.

Structured worker interviews with male and female workers and staff were held in private at the workplace. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as below.

| Stakeholders contacted | | |
|---|---|--|
| Type of Stakeholder (e.g. Internal, Union, Contractor, Governmental Department, NGO, External, Communities) | Stakeholder name / organization | Means of communication (e.g. face to face interview, email, phone interview, comment from public notice) |
| Governmental Department | Mr.Charoen T./ Head of Khao Yai Subdistrict Administrative Organization, Khao Yai Subdistrict, Aoluk District, Krabi Province | Face to face |
| Governmental Department | Ms. Junjira S./ Agricultural Extension Officer of Aoluk District Agricultural Office, Aoluk District, Krabi Province | Face to face |
| Governmental Department | Mr. Veerayut Pumradee / Head of village Moo 2, Aoluk Tai Subdistrict, Aoluk District, Krabi Province | Face to face |
| Governmental Department | Mr.Somnuek O. / Member of the Aoluk Tai Subdistrict Administrative Organization, Aoluk District, Krabi Province | Face to face |
| Communities | Mr.Sophon S./ Owner of Koan Ying Woa Palm Ramp, Khao Yai Subdistrict, Aoluk District, Krabi Province | Face to face |

| Stakeholders comment | |
|----------------------|--|
| 1 | Feedbacks: Head of Khao Yai Subdistrict Administrative Organization by face-to-face interviews <ul style="list-style-type: none"> Communication has been done. There is no complaints issue from the communities in the area of palm oil planted of the group members. Participated with the group in some activities, such as supporting for group training and support other smallholder to conduct RSPO ISH standard. |
| | Audit Team verification and response: <ul style="list-style-type: none"> Positive feedback not required to follow-up. No Complaints from local community and the government. Regarding the invitation email, he did not provide feedback via email, but the audit team can contact him through the group manager and conduct face-to-face interviews. |
| 2 | Feedbacks: Aoluk District Agricultural Office by face-to-face interviews <ul style="list-style-type: none"> Engages and maintains good relationships with groups, and promotes and supports the dissemination of knowledge. Ready to support group activities. |
| | Audit Team verification and response: <ul style="list-style-type: none"> Positive feedback not required to follow-up. No Complaints from local community. |
| 3 | Feedback: Head of village Moo 2 by face-to-face interviews |

| Stakeholders comment | |
|----------------------|---|
| | <ul style="list-style-type: none"> Communication and coordination with understand and aware on labour, wage and benefit, working condition and safety, social and environment issue covering, child labour, waste management, environmental protection (watercourse, soil, RTE, HCV), working details and condition, the procedure for grievance and complaint, etc.. The group committee regularly participates the meeting with the community. That is a good activities performed by the group is responsible for environment and social, there was no negative impact. |
| | Audit Team verification and response: <ul style="list-style-type: none"> Positive feedback not required to follow-up |
| 4 | Feedbacks: Aoluk Tai Subdistrict Administrative Organization by face-to-face interviews <ul style="list-style-type: none"> No conflict of land right. The boundaries of the plantation are clear and also, no complaint raised by people who lived surrounding the plantation. The subdistrict has good relations with group members in terms of being a place to hold meetings on various meeting Communication and coordination with the director will always be maintained and improved for further. No conflict of land deed with the government. For the last 2 years, there is no complaints issue from Government and all take holder. |
| | Audit Team verification and response: <ul style="list-style-type: none"> Positive feedback not required to follow-up No Complaints from local community Regarding the invitation email, he did not provide feedback via email, but the audit team can contact him through the group manager and conduct face-to-face interviews. |
| 5 | Feedbacks: Owner of Koan Ying Woa Palm Ramp by face-to-face interviews <ul style="list-style-type: none"> Communication has been done. No case of FFB from the group members is of poor quality and cannot be purchased. He is interested in the RSPO standard and prefer to purchase FFB from the Group once it is certified. |
| | Audit Team verification and response: <ul style="list-style-type: none"> Positive feedback not required to follow-up. Coordination and communication must be maintained properly. |

| List of land owner / user contacted | | | | | |
|-------------------------------------|---------------------------|----------------|----------------------|---------------------------------|---|
| Name | Years of ownership / used | Land area (ha) | Agreement (Yes / No) | Agreement base on FPIC (Yes/No) | Compliance on the agreement terms and conditions. |
| PLU of Member ID ALK-KB-008 | 2014 | 6.81 | Yes | Yes | Comply/ Transfer to inheritance with Father about 10 year. |
| PLU of Member ID ALK-KB-020 | 2009 | 3.26 | Yes | Yes | Comply /It is for sale according to the wishes of the original owner. about 15 year |

| List of land owner / user contacted | | | | | |
|---|------|------|-----|-----|--|
| PLU of Member ID ALK-KB-022 | 2010 | 1.46 | Yes | Yes | Comply/ Transfer to inheritance with Father about 14 year. |
| PLU of Member ID ALK-KB-020 | 1998 | 2.12 | Yes | Yes | Comply/ It is the transfer of inheritance from mother to son. The ownership has been transferred for about 25 years and The area was planted with oil palm before the change of ownership. |
| PLU of Member ID ALK-KB-019 | 1999 | 5.34 | Yes | Yes | Comply /It is for sale according to the wishes of the original owner. Transfer of ownership in 1999 and It is an area that has been used for rice farming before. |
| PLU of Member ID ALK-KB-015) | 2000 | 2.75 | Yes | Yes | Comply / It is for sale according to the wishes of the original owner. Transfer of ownership in 2020 and The original area had oil palms planted. |
| Assessor Notes: <i>Although the land acquisition is before November 2005 without HCV assessment, the LUCA process has been done by RSPO</i> | | | | | |

| Previous land owner / user comment | |
|------------------------------------|--|
| All | <p>Feedbacks:</p> <ul style="list-style-type: none"> The process of transferring land ownership was carried out well, there is no coercion and problems related to land. The transfer of land ownership can be proven by the issuance of a land sale and purchase receipt, which will then be processed at the Land Department Office to issue land title deed. So far, there is no conflict or grievance have occurred after the land sale and purchase process was carried out <p>Audit Team verification and response:</p> <p>The process of transferring land ownership rights (land sale and purchase) has not experienced problems or conflicts, either internal or external. The right to membership is processed freely and voluntarily for the new landowner.</p> <p>Audit Team Findings: Coordination and the process of transferring land ownership is carried out well must be maintained properly.</p> |

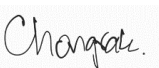

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3.5 Impartiality and conflict of interest

During this assessment there was no circumstances or pressure that had influenced the independence or confidentiality of the assessment team.

Formal Signing-off of Assessment Conclusion and Recommendation

| The audit objectives have been achieved and the certificate scope is appropriate. Based on the results of this audit, it is concluded that Aoluk Oil Palm Collaborative Farming Community Enterprise has complied with the Independent Smallholder Standard 2019 and audit criteria identified within the audit report. It is deemed that the management system has achieved its intended outcomes. Therefore, it is recommended that Aoluk Oil Palm Collaborative Farming Community Enterprise is certified. | |
|---|--|
| Report prepared by | Acceptance of Assessment Conclusion |
| Name: Mrs. Chongrak Takard | Name: Mr. Jesada Choti Wattanasak |
| Company Name: on behalf BSI Malaysia Services Sdn Bhd. | Company Name: Aoluk Oil Palm Collaborative Farming Community Enterprise |
| Title: Client Manager | Title: Group Manager |
| Signature:  | Signature:  |
| Date: 17 Sep 2024 | Date: 17 Sep 2024 |

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Appendix A: Summary of Findings

| Criterion / Indicator | | Assessment Findings | Compliance |
|--|---|--|------------|
| Principle 1: Optimise productivity, efficiency, positive impacts and resilience Implement professional and transparent operations to secure sustainable livelihood improvements. | | | |
| Criteria 1.1 Smallholders establish a legal entity which has organizational capacity to comply with the RSPO Independent Smallholder Standard. | | | |
| Eligibility | Legally registered entities have documented evidence to include: <ol style="list-style-type: none"> 1. Legal formation (as per country requirements) 2. Fair and transparent decision making and governance 3. Additional documents per requirements for Group Formation and Management. 4. Signed or thumb printed Smallholder Declaration from all smallholder members, reference Annex 2 (see appendix 1 for details needed in declaration). | <p>Aoluk Oil Palm Collaborative Farming Community Enterprise is an organization that has fulfilled the requirements as a legal entity that manages oil palm smallholders in the form of "Community Enterprise" and has related documented evidence as follow;</p> <ol style="list-style-type: none"> 1) The group has registered with the Aoluk District Agriculture Office and holds license number 5-81-05-01/10017 since 4 November 2019. For the year 2024, it was registered on 19 Jan 2024, valid until 31 Dec 2024. As required by Thai laws, the group has to re-register with the Aoluk District Agricultural Office annually to update any changes, especially the list of group members. Based on the list of group members as annex in this license, there are a total 33 group members (33 of new members) which is in compliance with the group database. 2) Decision-making and governance are made fair and transparent through group meetings with all members, which were conducted at annual group meeting on 7 March 2024 (annual meeting). All attending members were informed about general information and the group's regulations, such as <ul style="list-style-type: none"> • Information about group structure, group policy, procedures for applying for group membership, member punishment, etc. | Complied |

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| | | <ul style="list-style-type: none"> Guidelines for management of member's productivity and credit which separated into group management funds that come from income from selling FFB to mill and income from selling credit. <p>3) The Group manager had prepared smallholder declaration letter for group members. All group members have signed a declaration letter acknowledging and understanding the terms for applying to become group members. Sampling the signed declaration letter as following;</p> <ul style="list-style-type: none"> Member ID: ALK-KB-002 (Aree L.), Joining group on 04 Feb 2022, Signed agreement and Smallholder Declaration letter on 04 Feb 2022. Member ID: ALK-KB-006 (Chinda T.), Joining group 26 Jun 2021, Signed agreement and Smallholder Declaration letter on 26 Jun 2021. Member ID: ALK-KB-013 (Oangkhana Y.), Joining group on 23 Jun 2021, Signed agreement and Smallholder Declaration letter on 23 Jun 2021. | |
| Milestone A | Group manager and group members have an Internal Control System (ICS) that meets all the ICS Eligibility and MS A requirements (section 3.2 below) and complete training on oil palm pricing mechanisms, financial management, and best practices for smallholder organisations. | <p>The group has implemented an internal control system by following the documents "Group Management Manual" issued on 7 March 2024</p> <p>The organization structure of ICS, qualification and responsibilities of Group manager, group committee and group members as defined in "Group Management Manual" page 17-29.</p> <p>Based on document verification and interviewed group manager, group committee and group member who were sampled could show proof of their qualification as identified.</p> <ul style="list-style-type: none"> Found revised appointment of the Group manager and ICS group committee (15 persons) on 7 Mar 2024 as indicate in group meeting report on 7 mar 2024. Responsibilities of the Group manager and other positions (e.g., Group manager, Sustainability | Complied |

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| | | <p>department, Occupational health and safety department, Garden management department) are defined in "Group Management Manual".</p> <ul style="list-style-type: none">• Found application form with personal documents of group members and signed declaration letters e.g. ALK-KB-002 (Aree L.) Signed agreement and Smallholder Declaration letter on 04 Feb 2022, ALK-KB-006 (Chinda T.) Signed agreement and Smallholder Declaration letter on 26 Jun 2021, ALK-KB-013 (Oangkana Y.), Signed agreement and Smallholder Declaration letter on 23 Jun 2021. <p>Group has implemented ICS process as identified in "Group Management Manual" such as;</p> <ul style="list-style-type: none">• New member registration• Record control• Training• Internal Audit• Complaint and grievances• Social and Environment <p>The Group manager established plan for implementation of ICS at least once a year, which was conducted as Business plan for Y2023 - 2024 which was specified training plan 4 period in February, May, August, November, such as:</p> <ul style="list-style-type: none">• ICS• RSPO ISH standard• GAP, BMPs, IPM• Occupational health and safety. | |
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| | | Training on oil palm pricing mechanisms, financial management, and best practices for group members was conducted on 7 Mar 2024 with 33 participants. | |
| Milestone B | Smallholder groups are operating in accordance to best management practices for groups, including: <ul style="list-style-type: none"> Fair and transparent decision-making and governance Sustainable financial management | <p>Aoluk Oil Palm Collaborative Farming Community Enterprise has statutes to transparent decision-making and governance.</p> <p>As follows that:</p> <ul style="list-style-type: none"> A meeting is declared valid if it is attended by more than half of the number of members. Decision making is carried out by deliberation and consensus. If there is a deadlock, then the decision is taken based on a mechanism approved by the forum but still on an accountable basis. Group willing to get involved and willing to promote RSPO certified palm oil. Appointed Mr. Jesada C (Group Manager) as Group Manager who responsible for implementation of RSPO principles and criteria. All member of Aoluk Oil Palm Collaborative Farming Community Enterprise will support all the joint declaration point Aoluk Oil Palm Collaborative Farming Community Enterprise also has a financial management plan such as estimation of FFB production in the next year (2023 to 2024) and replanting plan period 2020 to 2024. | Complied |
| Criteria 1.2 Smallholders have the capacity to effectively manage their farm. | | | |
| Eligibility | Not Applicable | Not applicable | Not Applicable |

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| Milestone A | Smallholders complete training on farm business operations, monitoring and planning. The training includes capacity building on record keeping for production, including inputs and yields, transactions, and variety. | <p>Aoluk Oil Palm Collaborative Farming Community Enterprise has held trainings related to palm oil business. The trainings have been conducted as follows:</p> <ul style="list-style-type: none"> • Training on refresh knowledge of procedures implementation which including record keeping for production, including inputs and yields, transactions. Presented by Group manager on 7 Mar 2024, with 33 participants. • Training and socialization for Palm Oil Replanting for Smallholder, worker welfare, Updating SOP, RSPO Independent Smallholder Standard 2019, Determination of FFB Prices based on FFB quality, financial management, member registration, socialization of RSPO, appeal for prohibition of burning land and prohibition of using paraquat on 7 Mar 2024 and 7 Jun 2024 with 30 participants Presented by Mr. Chaiya Chim-in from Asian palm oil Co., Ltd. on 7 Mar 2024, with 30 participants. • Dissemination of the material through document file of RSPO Introduction and Implementation given to all smallholders. Awareness training of general RSPO ISH standard has been conducted at Annual group meeting on 7 Mar 2024 | Complied |
| Milestone B | Smallholders are managing their farms effectively and maintain records of production and transaction data of all FFB sale | <p>Based on field observation to sampling group members, found that group members are managing their farm effectively and maintain records of production and transaction data as follow;</p> <ul style="list-style-type: none"> • FFBs were sold to Palm Oil Mill or ramp in the local area. • Records of production and sale of FFB by individual members have consistently record the FFB production and sales. • Sample seen of transaction data of FFB sale: <ul style="list-style-type: none"> - Weighing receipt from Asian palm oil public Co., Ltd. to Aree L. (Member ID: ALK-KB-002) regarding sales of FFB on 26 Jun 2024, total weight 1490 kg. received 8.195 baht. | Complied |

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| | | - Weighing receipt from Asian palm oil public Co., Ltd. to Chinda T. (Member ID: ALK-KB-006) regarding sales of FFB on 17 Jun 2024, total weight 3,245 kg. received 17,847 baht. | |
| Criteria 1.3 Smallholders implement good agricultural practices (GAP) on their farms. | | | |
| Eligibility | Smallholders commit to implementing good agricultural practices on their farms. (Reference Smallholder Declaration, 1.1.E, Annex 2). | <p>Aoluk Oil Palm Collaborative Farming Community Enterprise has developed an application form and smallholders declaration letter which outlines the necessity to implement good agricultural practices in their farms.</p> <p>The Smallholders' Declaration form signifies members' commitment to implementing good agricultural practices on their farms, and it is signed by each member. Currently, there are 33 group members. Examples of these members can be found in the group's documents, as follows:</p> <ul style="list-style-type: none"> Member ID: ALK-KB-008 (Kitja S.), Joining group on 19 Aug 2021, Signed agreement and Smallholder Declaration letter on 19 Aug 2021. Member ID: ALK-KB-018 (Prakhong S.), Joining group 19 Aug 2021, Signed agreement and Smallholder Declaration letter on 19 Aug 2021 Member ID: ALK-KB-022 (Prathip S.), Joining group on 19 Mar 2021, Signed agreement and Smallholder Declaration letter on 19 Mar 2021. | Complied |

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| Milestone A | Smallholders complete training on Good Agriculture Practices (GAP). | <p>From verified the training year plan in business plan of Y2023-2024 and interviewed sampled members found the group provide training course on Good Agriculture Practices (GAP) as Training on Garden management, GAP, BMPs and related procedures on 21 Feb 2024 with 30 participants.</p> <p>The training course was conducted by Mr. Chaiya Chim-in, Group Facilitator from the Asian palm oil Co.Ltd, who has competence in each topic for training.</p> <p>From observing oil palm plots planted and interviewing sampling members, they could demonstrate Good Agriculture Practices (GAP) as well.</p> | Complied |
| Milestone B | Smallholders have adopted GAP on their farms and are tracking productivity through, but not limited to, records of FFB sales. | <p>Based on field observations conducted in group member areas, such as those of member ID: ALK-KB-002, ALK-KB-006, ALK-KB-013, it shows that smallholders are effectively managing their farms and diligently maintaining records of production and transaction data. Moreover, they have implemented GAP in accordance with the SOPs and training that have been delivered, such as;</p> <ul style="list-style-type: none"> • Not using paraquat. • Prepared garden management plan which cover GAP implementation. • Carrying out farmer activity records, such as harvest records and fertilizer use records. • Properly conducting as planned e.g., weed management and fertilization. | Complied |
| Principle 2: Ensure Legality, Respect for Land Rights and Community Wellbeing Comply with the law and respect communities' rights | | | |
| Criteria 2.1 | | | |

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| Smallholders have legal or customary rights to use the land in accordance with national and local laws, and customary practices | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|---|-----------------------------|-----------|----------------|----|------|-------------------------|-----------|------------|------------|---|----------------------|------|----------|------------|---|-------------------------|------|-------------|------------|---|------------------------|------|----------|------------|---|---------------------|------|---------|------------|---|-----------------------------|-----|-----------|------------|---|----------------------|------|----------|
| Eligibility | Smallholders provide the coordinates or maps of their plots and evidence of ownership, or rights to use the land (refer to appendix 1). | Aoluk Oil Palm Collaborative Farming Community Enterprise has established a comprehensive database containing the land holdings of all group members, encompassing member details such as name, address, ID code, and coordinate points, along with land ownership status, planting year, and total area. This information has been gathered from specific document data in various formats, including the membership application form and related documents such as copies of the land ownership certificates submitted by the members. However, based on document verification and field observations of sample members, it was found that the map of some members did not match the actual planting plot, for example, member plot no. ALK-KB-026-2, ALK-KB-002-1, ALK-KB-029-1, ALK-KB-002-1, ALK-KB-006-3, ALK-KB-020-2. Reference the details as Major NC no. 2515215-202407-M3 | | | Non-compliance | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Milestone A | Smallholders can demonstrate legal ownership or native and/or customary rights to use the land or demonstrate that they are in the process of legalisation of that right. | <div>Based on document verification, all sampled group members of group were able to provide proof of their land ownership, demonstrated as sample follows:</div> <table><tr><th>Smallholder</th><th>ID</th><th>Plot</th><th>Land title type and No.</th><th>Area (Ha)</th></tr><tr><td>Prathip S.</td><td>ALK-KB-024</td><td>1</td><td>Title Deed No. 13775</td><td>1.46</td></tr><tr><td>Kitja S.</td><td>ALK-KB-008</td><td>1</td><td>NorSor3Kor Plot no.1071</td><td>6.81</td></tr><tr><td>Prakhong S.</td><td>ALK-KB-018</td><td>2</td><td>NorSor3Kor Plot no.179</td><td>1.29</td></tr><tr><td>Panit T.</td><td>ALK-KB-014</td><td>3</td><td>Title Deed No. 6552</td><td>2.60</td></tr><tr><td>Aree L.</td><td>ALK-KB-002</td><td>1</td><td>NorSor3Kor Plot no.458, 473</td><td>8.2</td></tr><tr><td>Chinda T.</td><td>ALK-KB-006</td><td>3</td><td>Title Deed No. 10722</td><td>1.74</td></tr></table> | | | Smallholder | ID | Plot | Land title type and No. | Area (Ha) | Prathip S. | ALK-KB-024 | 1 | Title Deed No. 13775 | 1.46 | Kitja S. | ALK-KB-008 | 1 | NorSor3Kor Plot no.1071 | 6.81 | Prakhong S. | ALK-KB-018 | 2 | NorSor3Kor Plot no.179 | 1.29 | Panit T. | ALK-KB-014 | 3 | Title Deed No. 6552 | 2.60 | Aree L. | ALK-KB-002 | 1 | NorSor3Kor Plot no.458, 473 | 8.2 | Chinda T. | ALK-KB-006 | 3 | Title Deed No. 10722 | 1.74 | Complied |
| Smallholder | ID | Plot | Land title type and No. | Area (Ha) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Prathip S. | ALK-KB-024 | 1 | Title Deed No. 13775 | 1.46 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Kitja S. | ALK-KB-008 | 1 | NorSor3Kor Plot no.1071 | 6.81 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Prakhong S. | ALK-KB-018 | 2 | NorSor3Kor Plot no.179 | 1.29 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Panit T. | ALK-KB-014 | 3 | Title Deed No. 6552 | 2.60 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Aree L. | ALK-KB-002 | 1 | NorSor3Kor Plot no.458, 473 | 8.2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Chinda T. | ALK-KB-006 | 3 | Title Deed No. 10722 | 1.74 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| Milestone B | Smallholder plots are clearly and visibly demarcated and maintained, and the smallholders are operating only within these boundaries. | Based on field observations of the example smallholder (mentioned in 2.1 MS A) show that all land boundaries are clearly present, visible and maintained. All smallholder can display their land boundaries e.g. for smallholder who has land deed always indicates the legal boundary and the concrete pillar numbers. The concrete pillars given by the Department of Land were marked as a boundary of the land. Moreover, based on stakeholder consultation to interested parties, there is no boundary overlapping with other landowner. | Complied |
| Criteria 2.2 | | | |
| Smallholders have not acquired lands from indigenous peoples, local communities or other users without their free, prior and informed consent, based on a simplified FPIC approach. | | | |
| Eligibility | For existing plots, smallholders can demonstrate that they have not acquired land without free, prior and informed consent of indigenous peoples, local communities or other users (refer to appendix 1). | Based on document verification, land acquisition of all members was found as both of land title deeds and a certificate from the related government department, however the smallholder never acquires land from indigenous peoples, local communities, or other users without their Free, Prior and Informed Consent (FPIC) based on a simplified FPIC approach. | Complied |
| Milestone A | For existing plots, smallholders can demonstrate that they have not acquired land without free, prior and informed consent of indigenous peoples, local communities or other users (refer to appendix 1). | | Complied |
| Milestone B | For existing plots, smallholders can demonstrate that they have not acquired land without free, prior and informed consent of indigenous peoples, local communities or other users (refer to appendix 1). | | Complied |
| Criteria 2.3 | | | |
| The right to use the land is not disputed by indigenous peoples, local communities or other users | | | |
| Eligibility | Smallholders declare any existing disputes on the land commit to resolving said disputes and provide information on the current status of those disputes (if any) (refer to appendix 1). | Based on document verification found that all group members hold land deeds and land utilization certificates for their land used of oil palm cultivation and no evidence of any land claim, dispute, or complaints raised from the indigenous, stakeholders, community leaders, governmental officer, therefore the customary right was not applied. | Complied |

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| | | <p>Based on interviewed of sampled members, head village and related stakeholder organization found currently there are no land disputes between group members or with indigenous peoples, local communities or other users.</p> <p>Apart from that, they also have a land conflict resolution mechanism which member has been perceived by smallholder declaration and communicated these mechanism to members such as procedure for conduct FPIC as sited in "Group Management Manual" issued on 07 Mar 2024, Chapter 4 Good practices in oil palm cultivation, page 120. Furthermore, the group members attended training course about FPIC such as Social and Environmental including FPIC training course on 7 Jun 2024 by Mr. Chaiya Chim-in, Group facilitator.</p> | |
| Milestone A | There is an absence of disputes among indigenous peoples, local communities or other users, regarding land, resource-use and access rights; or where there is a dispute, dispute resolution processes are implemented, and the process is accepted by all parties involve | Based on document verification and interviews with example members, there is no evidence of any land claims, disputes, or complaints raised by indigenous people, stakeholders, community leaders, government officers, or local communities. Furthermore, from stakeholder consultations, no disputes were found among indigenous peoples, local communities, or other users. | Complied |
| Milestone B | There is an absence of disputes among indigenous peoples, local communities or other users, regarding land, resource-use and access rights; or where there is a dispute, dispute resolution processes are implemented, and the process is accepted by all parties involve | | Complied |
| Criteria 2.4 | | | |
| Smallholder plots are located outside of areas classified as national parks or protected areas, as defined by national, regional or local law or as specified in National Interpretation. | | | |
| Eligibility | as Smallholder plots are located outside of areas classified as national parks or protected areas defined by national, regional or local law, or as specified in National Interpretations (refer to appendix 1). | The result of the document review and interviewing during the audit found all plots of group members are located outside of areas classified | Complied |

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| Milestone A | Smallholder plots are located outside of areas classified as national parks or protected areas as defined by national, regional or local law, or as specified in National Interpretations (refer to appendix 1). | as national parks or protected areas as defined by national, regional, or local law or as specified in National Interpretation. | Complied |
| Milestone B | Smallholder plots are located outside of areas classified as national parks or protected areas as defined by national, regional or local law, or as specified in National Interpretations (refer to appendix 1). | Furthermore, the latest Land Use Change Analysis report dated 29 Sep 2023, indicated that the Aoluk Oil Palm Collaborative Farming Community Enterprise’s area are located on the flat inland areas that are heavily farmed (rice, fruits, rubber, oil palm). The Final Conservation Liability (FCL) value applied is 0.00 ha. | Complied |
| Criteria 2.5 | | | |
| For new planting, smallholders do not clear or acquire any land without obtaining the free, prior and informed consent (FPIC), of indigenous people and/or local communities and/or other users , based on a simplified FPIC approach. | | | |
| Eligibility | For new oil palm planting, smallholders commit not to clear or acquire land from indigenous people, local communities, or other users without their free, prior and informed consent, based on a simplified FPIC approach (refer to appendix 1). | These criteria are not applicable because the group has no plans for new oil palm planting or the development of new areas. However, all group members have signed the Smallholder Declaration, committing not to clear or acquire land from indigenous people, local communities, or other users. | Not Applicable |
| Milestone A | A Smallholders complete training on how to conduct a simplified FPIC approach. | | Not Applicable |
| Milestone B | Based on a simplified FPIC approach, smallholders jointly agree on a plan with the affected indigenous peoples and/or local communities and/or other rights holders, including vulnerable groups, for new oil palm developments, if these involve land-use change. | | Not Applicable |
| Principle 3: Respect human rights, including workers’ rights and conditions | | | |
| Safeguard human rights and protect workers’ rights, ensuring safe and decent working conditions. | | | |

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Note: This Independent Smallholder Standard is applicable to a large variety of independent smallholders in terms of geography, type, size and demographic characteristics. The use of labour on the oil palm plantations from outside the household is more common in several countries, because of the average age of the farmers who own the land or because of the average economic size of land holdings. An increased risk of not following safe and decent labour practices exists in smallholder farms that use workers from outside the household. Notably, these risks exist in situations where the smallholder landowner is not actively farming the land but hires others to do so.

Therefore, the Independent Smallholder Standard presents rigorous requirements on labour to prevent labour abuses and make these practices applicable to groups across all regions. This approach will increase adoption of better labour practices at a larger scale and increase RSPO's impact.

For those farmers that only use family labour within one household, this standard uses a 'skip-logic' for several labour requirements. In order to skip indicators, farmers must declare their source of labour, determining which criteria apply to them and which do not. (see also paragraph 3.1.3).

Criteria 3.1

There is no use of forced labour.

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| Eligibility | Smallholders commit to no use of forced labour and ensure that any use of forced labour on the farm is terminated at Eligibility. They provide information on the source of labour on the farm, including family, contract and hired labour (refer to appendix 1). | <p>The group already established Policy and the information as prohibited forced labour Discrimination, and Trafficking to ensure that any use of forced labour on the farm is terminated.</p> <p>The policy was state on page no. 2 of Group management manual" issue dated 7 Mar 2024 that also include related source of worker, gender, type of activity, etc.</p> <p>Based on an interview with Group committee and sampling members, they provide information on the source of labour on the farm, including family, contract and hired labour requirements found that they were able to show a signed declaration regarding not using workers from forced labor practices and that the casual worker conducts the job voluntarily.</p> <p>Sampling signed declaration by members as follows:</p> <ul style="list-style-type: none"> - Member ID : ALK-KB-029-1 (Somnuek S.), Joining date 20 Feb 2019, commit to no use of forced labour and ensure that any use of forced labour on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 29 Jul 2021 | Complied |
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| | | <ul style="list-style-type: none"> - Member ID : ALK-KB-032-1 (Sunee S.), Joining date 20 Feb 2020, commit to no use of forced labour and ensure that any use of forced labour on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 29 Jul 2021 - Member ID : ALK-KB-026-2 (Sahai C.), Joining date 20 Feb 2020, commit to no use of forced labour and ensure that any use of forced labour on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 20 Feb 2020 | |
| Milestone A | <p>Smallholders complete training on free and fair labour and implement measures to ensure that all work is voluntary, and the following practices are prohibited:</p> <ul style="list-style-type: none"> • Retention of identity documents including but not limited to passports; • Payment of recruitment fees by workers; • Contract substitution; • Involuntary overtime; • Lack of freedom of workers to resign; • Penalty for termination of employment; • Debt bondage; • Withholding of wages | <p>Aoluk Oil Palm Collaborative Farming Community Enterprise has provided training to all members on 7 Mar 2024 and 7 Jun 2024 with 33 participants and their casual workers (Harvesters, fertilizers and Sprayers). The material provided at the training includes Prohibition of Discrimination, Forced Labor and Trafficking, Prohibition of employing minors and prohibition of Using Child labor, Retention of identity documents including but not limited to passports, Payment of recruitment fees by workers, contract substitution, Involuntary overtime, Lack of freedom of workers to resign, Penalty for termination of employment, Debt bondage and Withholding of wages.</p> | Complied |
| Milestone B | <p>Workers on the farm, including their families, have unrestricted access to their identity documents, have freedom of movement and can declare that their employment is freely chosen.</p> | <p>Based on interviews and verified the acknowledgment with harvest workers during a field visit to confirm they did not use forced labour i.e. workers of Member ID : ALK-KB-029-1 (Somnuek S.), Member ID : ALK-KB-032-1 (Sunee S.) and Member ID : ALK-KB-026-2 (Sahai C.).</p> | Complied |

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| | | They were given the freedom to access or to services to all farmers and could freely option for jobs and other employers, have unrestricted access to their identity documents, have freedom of movement, and declare that their employment is freely chosen. | |
| Criteria 3.2 Children are not employed or exploited. Work by children is acceptable on family farms, under adult supervision and when not interfering with education programmes. Children are not exposed to hazardous working conditions. | | | |
| Eligibility | <p>Smallholders are aware of what defines child labour and ensure that any child labour in the farm operations is terminated at Eligibility. Awareness of child labour and commitment to no child labour includes:</p> <ol style="list-style-type: none"> 1. Compliance with the minimum age of workers and as defined by local, state, or national law, 2. Not exposing children to hazardous work. 3. Providing adult supervision of young people working on the farm. 4. Ensuring the practice of children's rights to education is unrestricted and respected. <p>(Refer to appendix 1).</p> | <p>Aoluk Oil Palm Collaborative Farming Community Enterprise has a policy regarding the prohibition of Using Child Labore, For Aoluk Oil Palm Collaborative Farming Community Enterprise members not to employ children under 18 years of age.</p> <p>For children aged 15-17 years, if they work in the field with the aim of helping their parents, it must be under parental supervision, and not do risky jobs. This policy refers to the existing laws and regulations in Thailand, concerning the prohibition of using child labor.</p> <p>This policy was socialized to all farmers and Labor Training for all workers at the time via orientation program, annual meeting lasted on 7 Mar 2024 and 7 Jun 2024 Presented group manager, with 33 participants. From result of interview with sampling 12 members found that they were aware of what defines child labour and ensure that any child labour in the farm operations is terminated at Eligibility and they were awareness of child labour and commitment to no child labour.</p> <p>All members signed group commitment and declaration to commit to not use child labour since on registered and orientation. The policy is also stated in the member recorded book. Verify the casual worker registered found age was over 18 years.</p> | Complied |
| Milestone A | Group managers and smallholders implement measures to protect children as follows: | | Complied |

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| | <ol style="list-style-type: none"> 1. There are no workers on smallholder farms under the age of 15 or under the minimum age defined by local, state or national law, whichever is higher. 2. Children are only permitted to help on family farms and are not permitted to perform dangerous, hazardous or heavy work. 3. If young workers are employed, their work is not mentally or physically harmful and does not interfere with their schooling, if applicable. | <p>Aoluk Oil Palm Collaborative Farming Community Enterprise has a policy regarding the prohibition of Child labor, the policy was state at page no. 2 of Sustain manual group issued 7 Mar 2024</p> <ol style="list-style-type: none"> 1) For Group members not to employ children under 18 years of age. 2) And for children aged 15-17 years, if they work in the field with the aim of helping their parents, it must be under parental supervision, and not do risky jobs such as picking the dropped fruit. <p>Signed declaration to commit to not use child labour from all members available to verified.</p> <p>Based on sampling members to interview as Member ID : ALK-KB-029-1 (Somnuek S.), Member ID : ALK-KB-032-1 (Sunee S.) and Member ID : ALK-KB-026-2 (Sahai C.), they can implement, demonstrate and there are no practices used child labor on workplace.</p> <p>The youngest age on the list is Aoluk Oil Palm Collaborative Farming Community Enterprise as harvester; Date of birth 18 Aug 2005 and working on Jan 2024 at the age of 19 years 10 month.</p> | |
| Milestone B | <p>Group managers and smallholders implement measures to protect children as follows:</p> <ol style="list-style-type: none"> 1. There are no workers on smallholder farms under the age of 15 or under the minimum age defined by local, state or national law, whichever is higher. 2. Children are only permitted to help on family farms and are not permitted to perform dangerous, hazardous or heavy work. 3. If young workers are employed, their work is not mentally or physically harmful and does not interfere with their schooling, if applicable. | | Complied |
| <p>Criteria 3.3</p> <p>Workers' pay complies with minimum legal requirements, mandatory industry standards as defined by national law or collective bargaining, whichever takes priority in local regulations.</p> <p>Note: Are there workers on the farm? If no, SKIP</p> | | | |
| Eligibility | Smallholders commit to pay workers according to minimum legal requirements or mandatory industry standards (Refer to appendix 1). | <p>The group was able to show a signed declaration regarding providing workers' wages in accordance with the minimum requirements in applicable laws and the Thai Labor Protection Act BE 2541 announced on 1 Jan 2024, Minimum wage at Krabi Province is 347 baht/day</p> <p>Based on document of Logbook and weighing Billing record in accordance with the type of work they do:</p> | Complied |

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| | | <ul style="list-style-type: none"> • Harvest work of 400-700 Baht per ton, • Transportation to factory is 200-250 Baht per ton. • Pruning work on average 15-30 baht per tree • fertilization work of 50 baht per bag • Spraying work of 150 baht per litter. • Weed control by manual (using machinery) of 10 baht per tree | |
| Milestone A | Workers receive payments as expected and agreed in accordance with at least the legal minimum wage rate (excluding overtime premiums) and without discrimination against vulnerable groups, including women. | At the time of this audit conducted a document (Record payment / receipt) verification, based on the verification of harvesters' wages/pay record for the period of June 2023 to Mar 2024 such as | Complied |
| Milestone B | Workers receive payments as expected and agreed in accordance with at least the legal minimum wage rate (excluding overtime premiums) and without discrimination against vulnerable groups including women. | <ul style="list-style-type: none"> • Record payment of wages on: 20 Jan 2024 • On behalf of: Ms. Chonticha K.. • Plot owner/Farmer: Member ID no. ALK-KB-006 • Type of Work: harvesting • Total Weight: 6.07 MT • Wages received by workers: 3,035 Baht (500 baht/MT) Exclude transportation) for 4 casual worker (758.75 baht/person/day) <p>The payment of harvest wages is by the verbal contract agreement in harvester's employment and also has not been identified as discrimination against workers both men and women. Based on the harvester's wage payment record above, Farmers provides worker's wages above the Minimum wage. During the field assessment interviews were conducted with Casual workers members and verified the record that the payment had been made fairly and no discrimination.</p> | Complied |

Criteria 3.4

Workers understand their rights and freedom to file a complaint/grievance to Group manager or relevant third parties, including RSPO.

Note: Are there workers on the farm? If no, SKIP

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| Eligibility | Smallholders commit to respect the rights of workers to file a complaint/grievance (Refer to appendix 1). | <p>The group member signed a declaration to respect worker's rights to file a complaint/grievance. Verified the sampling member who signed a declaration to commit that respect the rights of workers to file a complaint such as members.</p> <ul style="list-style-type: none"> - Member ID : ALK-KB-029-1 (Somnuek S.), Joining date 20 Feb 2019, commit to respect the rights of workers to file a complaint/grievance on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 29 Jul 2021 - Member ID : ALK-KB-032-1 (Sunee S.), Joining date 20 Feb 2020, commit to respect the rights of workers to file a complaint/grievance on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 29 Jul 2021 - Member ID : ALK-KB-026-2 (Sahai C.), Joining date 20 Feb 2020, commit to respect the rights of workers to file a complaint/grievance on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 20 Feb 2020. | Complied |
| Milestone A | Smallholders complete training on workers' rights to file a complaints/grievance and communicate to workers the means to file a complaint/grievance. | <p>Members and some casual workers of Aoluk Oil Palm Collaborative Farming Community Enterprise have complete training on workers' rights to file a complaints/grievance and communicate to workers the means to file a complaint/grievance, on 7 Mar 2024 and 7 Jun 2024 Presented group manager, for all members (33 members).</p> <p>Sampled members interviewed and training record reviewed such Member ID : ALK-KB-029-1 (Somnuek S.), Member ID : ALK-KB-032-1 (Sunee S.) and Member ID : ALK-KB-026-2 (Sahai C.)</p> | Complied |

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| Milestone B | Workers are aware of and have access to an effective means for filing a complaint/grievance. | Result from interview with sampling members confirmed that they are aware complaints and grievance mechanism. They can also demonstrate their understanding of the process for compliance and grievance. The record on the farm record book showed no evidence of any dispute and complaints raised by stakeholder, community leader, governmental officers, and local communities even though they know the right to give the complaints and grievances regarding the group and group members activities. Based on interview with harvester, they said that they have been provided awareness to submit the grievance through suggestion box in the office of Aoluk Oil Palm Collaborative Farming Community Enterprise or phone all and Line application directly to Group manager. They also said that until current, there is no grievance/or complaint need to be submitted. | Complied |
| Criteria 3.5 Working conditions and facilities are safe and meet minimum legal requirements. | | | |
| Eligibility | Smallholders commit to providing safe working conditions and facilities (Refer to appendix 1). | <p>The group members signed a declaration to provide safe working conditions and facilities for the workers. The group established the Occupational health and safety stated on Aoluk Oil Palm Collaborative Farming Community Enterprise Manual Issued 07 Mar 2024.</p> <p>Smallholder members have signed the statement to provided PPE for workers. However, all members had only casual workers and they been prepared by themselves.</p> | Complied |
| Milestone A | Smallholders, workers, and family members complete training and aware of health and safety risks associated with farm work, (including that of pesticide use) and how to mitigate them | All of members, casual workers, and family members of Aoluk Oil Palm Collaborative Farming Community Enterprise have completed training and aware of health and safety risks associated with farm work, (including that of pesticide use) and how to mitigate them. The training program for all members was conducted on 7 Mar 2024 and 7 Jun 2024 with 33 participants follow training program of Y2024. | Non-compliance |

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| | | However, form verifying the training record was not found the evidence of training for casual worker on work safety in the palm plantations of plots of group member ID ALK-KB-002, ALK-KB-006 and ALK-KB-013, etc., and there was no record of household labor data. Reference the details as Major NC no. 2515215-202407-M4. | |
| Milestone B | Workers, including smallholder family members, have access to safe working conditions and amenities that include: <ul style="list-style-type: none"> • Safe and adequate housing, where applicable, • Access to basic first aid supplies • Health and safety equipment, including minimum personal protective equipment (PPE) if appropriate for the type of work. • Adequate drinking water • Access to toilets | <p>Casual workers including family member have access to safe working conditions and amenities. Each worker and smallholder have their own housing.</p> <p>During field observation confirmed that first aid kit provide has met with the standard. Evidence of first aid kit provision is available. PPE has been prepared by casual workers themselves, they can be demonstrated during audit.</p> <p>Based on sampling members to interviewed such Member ID : ALK-KB-029-1 (Somnuek S.), Member ID : ALK-KB-032-1 (Sunee S.) and Member ID : ALK-KB-026-2 (Sahai C.), they can demonstrate and there prepared Health and safety equipment, including minimum personal protective equipment (PPE) if appropriate for the type of work. Including adequate drinking water the workers arrange by them self, if it is not enough, they can access additional supplies without restriction. Members also provide some soft drinks for the workers. Access to toilets is not restricted.</p> | Complied |
| Criteria 3.6 There is no discrimination, harassment, or abuse on the farm. Note: Are there workers on the farm? If no, SKIP | | | |
| Eligibility | Smallholders commit to no discrimination, harassment or abuse on the farm (Refer to appendix 1). | <p>All of the group member signed a declaration to commit to no discrimination, harassment or abuse on the farm. Verified the sampling member who signed a declaration letter such as members.</p> <p>New members</p> | Complied |

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| | | <ul style="list-style-type: none"> - Member ID : ALK-KB-029-1 (Somnuek S.), Joining date 20 Feb 2019, commit to no discrimination, harassment or abuse on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 29 Jul 2021 - Member ID : ALK-KB-032-1 (Sunee S.), Joining date 20 Feb 2020, commit to no discrimination, harassment or abuse on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 29 Jul 2021 - Member ID : ALK-KB-026-2 (Sahai C.), Joining date 20 Feb 2020, commit to no discrimination, harassment or abuse on the farm by acknowledge and signed the agreement and signed the declaration for Sustainable Palm oil smallholders on 20 Feb 2020. | |
| Milestone A | Smallholders complete training on workplace discrimination, harassment and abuse and are aware of need for a safe workspace. | <p>All of members of Aoluk Oil Palm Collaborative Farming Community Enterprise have completed training on workplace discrimination, harassment and abuse and are aware of need for a safe workspace follows training program. The latest training for all members were conducted on 7 Mar 2024 and 7 Jun 2024 with 33 participants.</p> <p>Based on sampled members interviewed and training record such Member ID : ALK-KB-029-1 (Somnuek S.), Member ID : ALK-KB-032-1 (Sunee S.) and Member ID : ALK-KB-026-2 (Sahai C.).</p> | Complied |
| Milestone B | Workers freely express that they are working in a place that is free from discrimination, harassment or abuse. | This policy of no discrimination, harassment, or abuse they can freely express that they are working in place on the farm was established and communicate to all members and all casual worker, socialized to all members and workers at the time via annual meeting lasted on 7 Mar 2024 and 7 Jun 2024, respectively. This socialization was attended 33 members attendance lists and materials can be shown during the audit. | Complied |

Principle 4: Protect, conserve and enhance ecosystems and the environment

Protect the environment, conserve biodiversity, enhance ecosystems and ensure sustainable management of natural resources.

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Preamble

High Conservation Value (HCVs) and High Carbon Stock (HCS) forests

This Independent Smallholder Standard is pursuing the objective of the RSPO Smallholder Strategy to increase smallholder inclusion, prioritise improved practices which also benefit smallholder livelihoods, whilst also upholding the core sustainability requirements. This includes the protection of areas of HCV and HCS forests. The RSPO has developed a simplified HCV methodology for identifying, protecting and managing HCVs that provides guidance for both existing and new planting (see here).

Aligned with the new HCS requirements in the RSPO 2018 P&Cs, the RSPO, in consultation with the HCSA Steering Group, intends to develop a simplified combined HCV-HCS approach to identify and protect HCS forests. The simplified and combined HCV-HCS approach for independent smallholders will be open to public consultation and will be published no later than November 2020.

In the meantime, independent smallholders are not allowed to clear any primary forests or any areas required to protect or enhance HCVs and HCS forests, as committed by signing the Smallholder Declaration. Until the simplified combined HCV-HCS approach and tool for independent smallholders is available, new plantings will only be permitted in low risk areas. The definition and procedure for identification of low risk areas will be defined by a Smallholder No Deforestation Task Force.

Remediation and Compensation Procedure (RaCP)

Remediation and compensation are required for any clearance since November 2005 without prior HCV assessment (see criterion 4.2) and any clearance since November 2019 without prior HCS assessment.

The requirements as outlined in the RaCP (2015) is not fully applicable for independent smallholders. For independent smallholders, this RSPO ISH Standard is focused on developing an appropriate RaCP mechanism such as on-site remediation (with funding mechanisms to be determined) as this is contextually appropriate to the scale of independent smallholder production and enables independent smallholders to maximise positive environmental impact on-site. The requirement means that quantified liability is disclosed and assessed through a land use change analysis (LUCA) supported by the RSPO Secretariat.

Criteria 4.1

High Conservation Values (HCVs) on the smallholder plot or within the managed area and High Carbon Stock (HCS) forests identified after November 2019 using the simplified combined HCV-HCS approach, are managed to ensure that they are maintained and/or enhanced.

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| Eligibility | Smallholders commit to protect HCVs and HCS forests through the precautionary practices approach (Refer to appendix 1). | Based on document reviews, site observations, and interviews with relevant smallholders, it was evident that during the on-site audit, the group provided evidence of members' signatures committing to refraining from new planting or expanding existing farms in primary forests, High Conservation Value (HCV) areas, High Carbon Stock (HCS) forests, riparian areas, or steep slopes. Through interviews, the | Complied |
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| | | <p>group representative demonstrated an understanding of the basic concepts of HCV areas and HCS forests. Sampling declarations from group members confirmed their commitment to protecting HCV areas and HCS forests. The auditor teams have taken 12 smallholder sample from each farmer group. All those declaration was signed by smallholder.</p> <ul style="list-style-type: none"> Member ID: ALK-KB-002 (Aree L.), Joining group on 04 Feb 2022, Signed agreement and Smallholder Declaration letter on 04 Feb 2022. Member ID: ALK-KB-006 (Chinda T.), Joining group 26 Jun 2021, Signed agreement and Smallholder Declaration letter on 26 Jun 2021. Member ID: ALK-KB-013 (Oangkhana Y.), Joining group on 23 Jun 2021, Signed agreement and Smallholder Declaration letter on 23 Jun 2021. | |
| Milestone A | <p>Smallholders complete training on and are aware of:</p> <ul style="list-style-type: none"> The importance of maintaining and conserving HCVs and HCS forests Human-wildlife conflict; and Rare, threatened and endangered species and important ecosystems. | <p>The group conducted training on High Conservation Value (HCV) and High Carbon Stock (HCS) practices on 18 Oct 2023 Mr. Chaiya Chim-in from the Asian Palm Oil Co., Ltd, for all members. The training schedule was established according to the training plan for the years 2023 and 2024. The training covered various topics, including the significance of maintaining and conserving HCVs and HCS forests, methods to address human-wildlife conflicts, mitigation efforts, identification of Rare, Threatened, and Endangered (RTE) species, and the importance of preserving critical ecosystems.</p> <p>During 2024, dissemination information of about HCV conducted in form of giving refreshments for the members by installing HCV signboards at some point where HCV exists.</p> <p>Based on interviews with sampled smallholders on their plots, awareness of conserving HCV area, According to HCV assessment there were no HCV areas identified at smallholder plots. However, it</p> | Complied |

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| | | was identified that there is ditch in several smallholder plots (not categorized as HCV) and protection of RTE's species and another wildlife animal already understand. Particularly to preserve the buffer watercourse and natural canals from chemicals used. | |
| Milestone B | Smallholders implement precautionary practices and manage and maintain rare, threatened and endangered species, HCVs and HCS forests, where applicable | <p>The sampled smallholders have effectively implemented precautionary practices and are actively managing and maintaining Rare, Threatened, and Endangered (RTE) species, High Conservation Value (HCV) areas, and High Carbon Stock (HCS) forests, as verified on-site. Interviews with the sampled smallholders revealed their awareness of the importance of maintaining and protecting HCVs, such as rivers, and adhering to regulations prohibiting hunting animals within their plantations. Smallholders implement precautionary practices and manage and maintain HCV and HCS forest by disallowing apply chemicals at HCV area in form of canals.</p> <p>For example: during field observation at member ID ALK-KB-018 (Prakhong S.) which borders with main canals , precautionary practices that implemented by manual upkeep for weeding control and totally not applying chemicals.</p> <p>Also, smallholders implement frequent monitoring for HCV area and documented in "Aoluk Oil Palm Collaborative Enterprise" dated 26 Oct 2023. HCV location to monitored are at plots of Aoluk Oil Palm Collaborative Enterprise .</p> | Not Applicable |
| Criteria 4.2 Where the existing smallholder plot has been planted and cleared after November 2005 or is on an area identified as HCS forests after November 2019 up to the eligibility period, a RaCP process appropriate for smallholders based on Land Use Change Analysis (LUCA) will be applicable (reference preamble). | | | |

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| Eligibility | Smallholders provide information on all smallholder plots converted and planted with oil palm after 2005, through use of the simplified combined HCV- HCS approach for Smallholders (Refer to appendix 1). | Aoluk Oil Palm Collaborative Enterprise is dedicated to safeguarding and preserving the High Conservation Value (HCV) area. An HCV assessment was conducted by Aoluk Oil Palm Collaborative Enterprise on 29 Feb 2023, encompassing all smallholder members. During the evaluation, smallholder members provided details on all plots converted and cultivated with oil palm since 2005. The HCV assessment report, dated 29 Feb 2023, was accessible in Aoluk Oil Palm Collaborative Enterprise. According to the assessment, the FCL of independent smallholders within Aoluk Oil Palm Collaborative Enterprise, Thailand, is 0.0 ha. Furthermore, Aoluk Oil Palm Collaborative Enterprise, Thailand, has no plots within riparian buffer zones requiring remediation by the Independent Smallholders (ISH) no plots on steep slopes, and no plots planted on peat areas. The RSPO is currently developing a guide for existing independent smallholder planting no peat. The total environmental remediation area is recorded as 0.0 ha. Given the above, the status of the Land Use Change (LUCA) Analysis of Aoluk Oil Palm Collaborative Enterprise, Thailand, is deemed satisfactory. The group manager has identified the riparian buffer zone and confirmed its existence. | Complied |
| Milestone A | Group members develop a plan to identify the maximum area for on-site remediation of HCVs lost since 2005 and HCS forests lost since November 2019, through a participatory process and the plan is submitted to RSPO. | Based on the latest Land Use Change Analysis (LUCA) report dated 29 Sep 2023 By BCS, several recommendations have been provided. Following communication on 9 Aug 2023, Aoluk Oil Palm Collaborative Enterprise has reaffirmed the hectareage/total size of land and the planting month and year. The interim FCL area of independent smallholders under Aoluk Oil Palm Collaborative Enterprise Thailand, is recorded as 0.00 ha. Additionally, Aoluk Oil Palm Collaborative Enterprise needs to remediate a total of 0.00 ha of palm oil. The group has decided to utilize Best Management Practices (BMP) to develop the certified area. Aoluk Oil Palm Collaborative Enterprise has formulated a plan to remediate the riparian area by planting wooden trees (such as bamboo trees and vetiver), marking the boundary of | Complied |

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| | | <p>the riparian area, installing warning signs to prohibit chemical activity in the riparian zone, and monitoring the area. Evidence of plan implementation, including the marking of riparian boundaries, installation of warning signs, and planting of bamboo trees and vetiver, can be demonstrated during the audit.</p> <p>Considering the above, it is concluded that the LUCA Analysis, Aoluk Oil Palm Collaborative Farming Community Enterprise, Thailand, has no plots within riparian buffer zones requiring remediation by the ISH, no plots on steep slopes, and no plots planted on peat areas. A guide on existing independent smallholder planting on peat is currently in development by the RSPO. Total environmental remediation is 0.0 ha which included HCV-HCS assessment, identified for any new oil palm planting after 2005 of Aoluk Oil Palm Collaborative Enterprise, Thailand, on 29 Sep 2023 was deemed satisfactory.</p> | |
| Milestone B | An RSPO-approved plan to remediate for HCVs lost since 2005 and HCS forests lost since November 2019 is implemented. | <p>According to reporting template for Disclosure of areas cleared without HCV assessment since November 2005 smallholder members of Aoluk Oil Palm Collaborative Enterprise confirmed that there is no non-compliant land clearance. It was reported by Aoluk Oil Palm Collaborative Enterprise to RSPO on 29 Sep 2023. Based on a review of results by RSPO confirmed on 29 Sep 2023 According to the disclosure form, all the new smallholder members have disclosure no liability, Therefore LUCA will not be applicable and they may proceed with certification. There is no new planting after November 2005 at Aoluk Oil Palm Collaborative Enterprise According to the planting year date of all smallholder members, confirmed that there is no planting after November 2005. Statement of Free Liability – Aoluk Oil Palm Collaborative Enterprise based on email from RSPO dated 29 May 2023, the statement that Aoluk Oil Palm Collaborative Enterprise is free liability and no need to go LUCA. Therefore, Aoluk Oil Palm Collaborative Enterprise no need to submit remediate for HCV lost.</p> | Complied |
| Criteria 4.3 | | | |

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| <p>New planting of independent smallholders, since November 2019:</p> <ul style="list-style-type: none"> • Do not replace any HCVs • Do not replace any HCS forests as defined by the simplified combined HCV-HCS approach • Are not on steep slopes (more than 25 degrees or as in the National Interpretation) • Are not on peat areas of any depth. | | | |
| Eligibility | Smallholders provide information on all planned new plantings and commit that no new planting are on HCVs or HCS forests, on steep slopes (more than 25 degrees or as in the National Interpretation) or on peat (Refer to appendix 1). | <p>Based on Disclosure Template, and LUCA Analysis Report prepared by BCS Date of edition 29 September 2023. There are no plots planted on steep slope under Aoluk Oil Palm Collaborative Farming Community Enterprise Group.</p> <p>The group has no plans for new oil palm planting or the development of new areas and all group members have signed commitments that if they were to develop a new area, they would refrain from carrying out new planting in High Conservation Value (HCV) areas or High Carbon Stock (HCS) forests, areas with steep slopes (greater than ≤ 25 degrees or as stipulated in the National Interpretation), or on peat, as indicated on the declaration form. Moreover, the group undergoes yearly surveys and monitoring during internal audits.</p> <p>However, from the verification of information and random inspection of planting plots, it was found that some members did not give the information about new planting to the group manager for review and follow-up on implementing the group's requirements manual, see the details as Major NC no. 2515215-202407-M5</p> | Non-compliance |
| Milestone A | Before any land preparation commences, group members develop an integrated management plan through a participatory approach to maintain or enhance HCVs as well as HCS forests identified after November 2019, as identified by the simplified combined HCV and HCS approach, before any land preparation commences | As mentioned in section 4.3(E), the group has no plans for new oil palm planting or the development of new areas. Smallholder members have signed a statement letter indicating their commitment to refrain from new planting or expansion of existing farms in primary forests, High Conservation Value (HCV) areas, High Carbon Stock (HCS) forests, riparian areas, or on steep slopes (≤ 25 degrees or as | Not Applicable |

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| | | stipulated in the National Interpretation). Additionally, there have been no new plantings by independent smallholders. Aoluk Oil Palm Collaborative Enterprise have no plan for developing new planting in the future. The organization has plan the possibility of new members that join to certification with similar year of planting. Therefore, this indicator is Not Applicable. | |
| Milestone B | Smallholders have an RSPO approved integrated management plan for their planned new planting and share a notice of this plan with those involved in the participatory mapping before any land preparation commences. | <p>Based on the interviews and document verification, it has been confirmed that Aoluk Oil Palm Collaborative Enterprise has no plans for new plantings or expansion. All member plantations consist of existing palm oil plantations. Aoluk Oil Palm Collaborative Enterprise have no plan for developing new planting in the future. The organization has plan the possibility of new members that join to certification with similar year of planting. During INV, in July 2024 the auditor team has reviewed the data of palm oil planting. Data Aoluk Oil Palm Collaborative Enterprise, updated in 2024, there is no palm oil planted after November 2019. Mostly smallholder plots have been planted since 2004 - March 2017.</p> <p>Based on interview with smallholder members, ICS board team, it was confirmed that there is no planned for new plantings for their plantation. All member plantations are palm oil plantation. Therefore, this indicator is Not Applicable.</p> | Not Applicable |
| Criteria 4.4 Where smallholder plots exist on peat, subsidence and degradation of peat soils is minimised by use of best management practices. | | | |
| Eligibility | Group manager confirms presence of peat on existing plots within the group and smallholders on peat commit to using best management practices (BMPs), and minimizing subsidence and degradation of peat soils (Refer to appendix 1). | The group does not have the presence of peat on existing plots within the group and smallholder. The member signed a declaration to commit that no new planting on peat and replanting on peat only in areas with low risk of flooding and saline intrusion. | Not Applicable |

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| Milestone A | Smallholders complete training on best management practices (BMPs) for peat. The group has an action plan to minimize risk of fire, to apply BMPs for plantings on peat and manage water systems in the certification unit. | The group does not have the presence of peat on existing plots within the group and smallholder. The member signed a declaration to commit that no new planting on peat and replanting on peat only in areas with low risk of flooding and saline intrusion. | Not Applicable |
| Milestone B | Smallholders implement the group's action plan based on BMPs, including fire and water management, and monitoring of subsidence rate for existing planting on peat. | The group does not have the presence of peat on existing plots within the group and smallholder. The member signed a declaration to commit that no new planting on peat and replanting on peat only in areas with low risk of flooding and saline intrusion. | Not Applicable |
| Criteria 4.5 Plots on peat are replanted only on areas with low risk of flooding or saline intrusion as demonstrated by a risk assessment. | | | |
| Eligibility | Smallholders commit to provide information on all plans for replanting and commit that replanting will only be in areas with low risk of flooding or saline intrusion (Refer to appendix 1). | The group was an explicit replanting program in the next 5 years (2024-2029). Moreover, The member signed a declaration to commit no new planting on peat and replanting on peat only in areas with low risk of flooding and saline intrusion. Moreover, Smallholder member has signed the statement letter "Aoluk Oil Palm Collaborative Enterprise " which stated at point 10 "No new planting on peat and replanting on peat only in areas with low risk of flooding and saline intrusion." Based on interview with smallholder members, ICS board team, it was confirmed that there is no planned for new plantings for their plantation. All member plantations are existing palm oil plantation. | Complied |
| Milestone A | Smallholders with plots on peat complete training on identification of future risks of flooding or saline intrusion and alternate land development strategies. | There is no peat in the smallholders area. During initial certification audit, no replanting in smallholders area. Based on interview with smallholder members, ICS board team, it was confirmed that there is no planned for new plantings for their plantation. All member plantations are existing palm oil plantation. Based on interview with smallholder members, ICS board team, it was confirmed that there is no planned for new plantings for their plantation. All member plantations are existing palm oil plantation. | Complied |

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| Milestone B | Prior to replanting on peat smallholders complete a risk assessment related to flooding or saline intrusion and, where there is high risk, present a plan that includes alternate land development strategies, referencing alternative livelihood planning. | During initial certification audit, From field assessment including member interviewed ICS board team and reviewed Disclosure Template, and LUCA Analysis Report prepared by BCS Date of edition 29 September 2023. The conclusion is the final conservation liability (FCL) calculated is 0.0 hectares. There are no plots under Aoluk Oil Palm Collaborative Farming Community Enterprise Group, Thailand that have been identified for remediation action as none of the plots were planted inside the riparian zone of a natural river, no plots are planted on steep slopes or within peat areas. It was confirmed that there is no planned for new plantings for their plantation on peat area. | Complied |
| Criteria 4.6 Fire is not used on the oil palm plot for preparing land or for pest control, nor open fire for waste management on the farm. | | | |
| Eligibility | Smallholders commit to no burning for preparing land or for pest control, nor open fire for waste management. Group manager records evidence of prior burning of members joining the group (Refer to appendix 1). | <p>The group member signed a declaration to no burning for preparing land or for pest control, nor open fire for waste management. Sampling sign declaration for example;</p> <ul style="list-style-type: none"> Member ID: ALK-KB-008 (Kitja S.), Joining group on 19 Aug 2021, Signed agreement and Smallholder Declaration letter on 19 Aug 2021. Member ID: ALK-KB-018 (Prakhong S.), Joining group 19 Aug 2021, Signed agreement and Smallholder Declaration letter on 19 Aug 2021 Member ID: ALK-KB-022 (Prathip S.), Joining group on 19 Mar 2021, Signed agreement and Smallholder Declaration letter on 19 Mar 2021. <p>Upon verifying the relevant records of the group, it was found that there were no burning instances before members joined the group. Additionally, the use of fire for waste disposal is strictly prohibited. The zero-burning policy is explicitly stated in the Aoluk Oil Palm</p> | Complied |

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| | | <p>Collaborative Enterprise Manual on page 32, emphasizing the group's commitment to sustainable practices and environmental responsibility.</p> <p>Based on an interview with ICS, there are no plans for expansion or recruiting new members. The Aoluk Oil Palm Collaborative Enterprise Group is focused on maintaining current members and enhancing their knowledge for sustainable implementation.</p> | |
| Milestone A | <p>There is no physical evidence of new burning (after eligibility) for land preparation for oil palm by smallholders. Smallholders complete training on and are aware of:</p> <ul style="list-style-type: none"> • Alternatives to fire for land preparation and farm waste management (where appropriate possible). • Alternatives to fire for pest control. • Fire prevention and how to respond to and manage fires in their plots. | <p>Based on field assessment and interviews with all sampled fields and members, that there is no evidence showing that fire is used to dispose of waste or for land preparation at plots owned by the sampled members.</p> <p>All sampled members are aware that they cannot use fire for land clearance for further replanting. The use of fire for waste disposal is also prohibited. The group members are communicated on the zero burning policy by the group manager during the annual meeting.</p> <p>Moreover, based on the Group Manager interview and document reviewed has ensured that all members attend the training as scheduled. In case a member cannot attend the training, follow-up training and training materials are shared with the group member via posting in the Line application or via group committees when they visit the member in their area.</p> | Complied |
| Milestone B | <p>Smallholders do not use fire or practice burning for land preparation, waste management or pest control on the farm. For pest control, fire may be used only in exceptional circumstances i.e. where no other effective measures exist and with prior approval of relevant authority.</p> | <p>The waste management and disposal are written in the Roundtable on sustainable palm oil for smallholder management manual on page 117. The agrochemical empty containers for empty fertilizer bags were delivered empty containers to the group to further collect and dispose of the appropriate method.</p> <p>The group established the Integrated Pest Management (IPM) approaches used to minimize the use of pesticides on smallholder farmers, such as Control of rat by Exploring the infestation of rats and Using natural enemies of rodents, owls, snakes, etc. During on-site</p> | Complied |

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| | | <p>assessment and interviews with the sampling, members were confirmed that fire has never been used in this region and other areas during the field observation trip. There was no indication of burn marks.</p> <p>The training has been provided for all members. The group manager explained the zero burnings to the group members during the annual meeting. Therefore, group members are aware that they cannot use fire for land preparation during replanting.</p> | |
| Criteria 4.7 Riparian buffer zones are identified and managed to ensure they are maintained and/or enhanced. | | | |
| Eligibility | Group manager identifies riparian buffer zones within the group and smallholders commit to no new planting in riparian zones (Refer to appendix 1). | <p>Based on Disclosure Template, and LUCA Analysis Report prepared by BCS Date of edition 29 September 2023. There are no plots overlapping a riparian buffer zone under Aoluk Oil Palm Collaborative Farming Community Enterprise Group.</p> <p>Furthermore, the inclusion of best management practices for palm oil cultivation in the community manual, specifically regarding the maintenance of riparian zones, underscores the community's commitment to sustainable land use practices. The guideline specifying a minimum distance of 15-20 meters between riparian zones sets a clear standard for protecting these sensitive areas from agricultural activities. The recent review of the community's practices, conducted through interviews and document review, confirms the implementation of measures to safeguard riparian buffer zones. By identifying and taking action on six plots adjacent to rivers and canals, the community shows a proactive stance in preserving these critical habitats.</p> <p>The action plan developed for these plots, which includes commitments such as prohibiting new plantings in riparian zones and</p> | Complied |

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| | | <p>implementing leaf trimming to reduce erosion, reflects the community's dedication to maintaining the integrity of riparian areas. The sample seen for Smallholder had committed to no new planting in riparian zones via the declaration as follows,</p> <ul style="list-style-type: none"> • Member ID: ALK-KB-006 (Chinda T.), Joining group 26 Jun 2021, Signed agreement and Smallholder Declaration letter on 26 Jun 2021. • Member ID: ALK-KB-018 (Prakhong S.), joined group 19 Aug 2021, Signed agreement and Smallholder Declaration letter on 19 Aug 2021 • Member ID: ALK-KB-020 (Prasit P.), Joining group on 15 Mar 2021, Signed agreement and Smallholder Declaration letter on 15 Mar 2021. | |
| Milestone A | Smallholders complete training on and are aware of riparian buffer zone management, and the group has an action plan to maintain and/or enhance riparian buffer zones. | <p>Aoluk Oil Palm Collaborative Enterprise Group's in providing training to its members on important topics such as High Conservation Value (HCV) areas. The recent refresh training on HCV conducted on 07 March 2024, with the participation of 33 members, demonstrates the commitment of the group manager to ensure that all individuals are well-informed about the significance of conserving these areas.</p> <p>The training session, documented through attendance lists, training materials, and photographs, indicates a thorough approach to knowledge dissemination. By including information on HCV and Rare, Threatened, and Endangered (RTE) species in the training material, members are equipped with the necessary understanding to identify and protect these valuable ecological features within their plots.</p> <p>During field visits and interviews, it is evident that members such as member ID ALK-KB-018 (Prakhong S.), ALK-KB-017 (Pradit S.), ALKKB-014 (Panit T.) have a clear understanding of the importance of riparian zone protection. This understanding is further reinforced by the presence of documents outlining Riparian Zone management</p> | Complied |

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| | | activities for the year 2024, which include measures such as installing riparian protection signage, stream cleansing, and conducting socialization activities to discourage chemical applications near these zones. | |
| Milestone B | Smallholders maintain and/or enhance riparian buffer zone areas. | <p>During interviews and field visits to smallholder plots sampled (28 smallholder members), smallholders are aware of conserving the waterways by not applying chemical spraying and fertilizing near to waterways. for example member ID ALK-KB-018 (Prakhong S.), ALK-KB-017 (Pradit S.), it was observed that a stream serves as a boundary between plot ID ALK-KB-017-1 and plot A. The stream, approximately 8 meters wide, exhibits a consistent flow of clean water.</p> <p>The protection signage is prominently displayed along the streamside, conveying important messages regarding the conservation of riparian zones. The signage prohibits logging activities and chemical applications in the riparian area, indicating a commitment to preserving the ecological integrity of the stream environment., it was noted that smallholder members are aware of conserving the waterways by not applying chemical spraying and fertilizing near waterways.</p> | Complied |
| Criteria 4.8 Pesticides are used in ways that do not endanger health of workers, family, communities or the environment. | | | |
| Eligibility | <p>Smallholders commit to phase out paraquat and pesticides categorized as WHO Class 1A or 1B and those listed by the Stockholm or Rotterdam Conventions by:</p> <ul style="list-style-type: none"> • Immediately stopping purchasing of these pesticides • Phasing out use of remaining stock by MS A • Providing information for The Group manager to keep record of pesticide purchase and use. | During onsite audits, the Declaration document committing to phasing out paraquat and pesticides categorized as WHO Class 1A or 1B, as well as those listed by the Stockholm or Rotterdam Convention, was thoroughly reviewed. The document contained signatures from group members, signifying their agreement and commitment to this initiative. These signatures serve as confirmation of their acknowledgment and agreement to the phased-out use of paraquat and pesticides classified as WHO Class 1A or 1B. | Complied |

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| | (Refer to appendix 1) | <p>The Declaration document aligns with the action required by this indicator and references the Best Management Practices (BMP) established by the group. Through their signatures, group members affirm their dedication to implementing measures aimed at discontinuing the use of these harmful substances within their agricultural practices. Sample seen for Smallholder commitment declaration as following,</p> <ul style="list-style-type: none">• Member ID: ALK-KB-006 (Chinda T.), Joining group 26 Jun 2021, Signed agreement and Smallholder Declaration letter on 26 Jun 2021.• Member ID: ALK-KB-018 (Prakhong S.), Joining group 19 Aug 2021, Signed agreement and Smallholder Declaration letter on 19 Aug 2021• Member ID: ALK-KB-020 (Prasit P.), Joining group on 15 Mar 2021, Signed agreement and Smallholder Declaration letter on 15 Mar 2021. <p>The verification of the Declaration document during onsite audits confirms that group members are actively engaged in efforts to phase out paraquat and highly hazardous pesticides, demonstrating their commitment to sustainable and responsible agricultural practices.</p> | |
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| Milestone A | Smallholders complete training on BMPs for pesticides including pesticide usage, awareness on risks for pregnant and breastfeeding women and young workers; storage and disposal; paraquat and pesticides listed by WHO Class 1A or 1B, the Stockholm or Rotterdam Conventions (and in compliance with 3.5). | <p>The group underwent training on Best Management Practices (BMPs) for pesticides, including pesticide usage and safe chemical handling, on 07 Mar 2024 . All members received training during this period. The training schedule was established in accordance with the training plan for the years 2023 and 2024. The training presentation covered various aspects of pesticide use, chemical storage, and safe handling practices. Specifically, it included awareness regarding the risks associated with pregnant and breastfeeding women and young workers, as well as proper storage and disposal methods for chemicals, including paraquat and pesticides listed by WHO Class 1A or 1B, as well as those covered by the Stockholm or Rotterdam Conventions.</p> <p>During verification, it was confirmed that the training material encompassed all the mentioned topics and was conducted by Mr. Chaiya, an Agricultural Specialist. Evidence of the training was documented in the training records, which indicated that the training took place on 07 March 2024, for all members.</p> <p>The group committees have been tasked with monitoring the use of herbicides to ensure that only registered or legal herbicides are applied. Additionally, farm advisors designated by the group manager are responsible for overseeing pesticide use to ensure compliance with regulations. On-site verification revealed that group members have implemented an Integrated Pest Management Plan and a Continuous Improvement Plan, demonstrating their commitment to reducing chemical usage. During the site visit, beneficial plants were observed along estate roads and immature areas, further indicating efforts to minimize reliance on chemicals.</p> | Complied |
| Milestone B | Smallholders implement BMPS for all pesticide use, including prohibiting use of pesticides by pregnant and breastfeeding women and young workers, and exclusion of paraquat and pesticides that are categorized as WHO Class 1A or 1B, or those listed by the Stockholm | The Government Gazette dated 01 Jun 2020, outlines the commitment to banning the use of paraquat in Thailand. Additionally, the Aoluk Oil Palm Collaborative Enterprise manual, on page 42, specifies the prohibition of employing pregnant women in chemist work. During | Complied |

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| | or Rotterdam Conventions, unless when authorized by relevant authorities for pest outbreaks. | <p>field visits and document checks, no instances of pregnant employees or child labour were found on site.</p> <p>A list of pesticide applications used by the Aoluk Oil Palm Collaborative Enterprise includes glyphosate, with 32 liters applied in 2023-2024. The group has implemented Integrated Pest Management (IPM) approaches to minimize pesticide usage among smallholder farmers. The Group Manager has developed an Integrated Pest Management (IPM) plan, which is detailed in the Agricultural Best Practice for Estate Sustainability Manual on page 42. Thai Agricultural Standards (TAS) for palm oil plantation are also adhered to, serving as guidelines for the application of suggested IPM techniques.</p> <p>The IPM approach includes:</p> <p>Control of rats: By identifying rat infestations and utilizing natural predators such as owls, snakes, etc.</p> <p>Worm and insect control: Regular surveys of insect populations are conducted, and appropriate measures are taken to eliminate insects when infestations reach levels that pose a threat to business operations.</p> <p>Use of Trichoderma species NST - 009: This species is highly effective in controlling Ganoderma spp., a type of fungus that affects palm trees.</p> | |
| Criteria 4.9 The group and smallholders manage pests, diseases, weeds and invasive introduced species using appropriate techniques, including but not limited to Integrated Pest Management (IPM) techniques. | | | |
| Eligibility | Not applicable | N/A | Not Applicable |

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| Milestone A | Smallholders complete training on and are aware of BMPs, including, but not limited to safe chemical use, IPM, weed and invasive species management. | <p>Aoluk Oil Palm Collaborative Enterprise has enacted a policy and decree letter to prevent pregnant and breastfeeding women from engaging in chemical handling, including herbicide/pesticide use. All group members have signed a commitment to adhere to best management practices, which encompass safe chemical use, Integrated Pest Management (IPM), and management of weeds and invasive species. A sampling of signed declarations from members Sample seen for Smallholder commitment declaration.</p> <p>The most recent training course on best management practices (BMPs), including IPM, was conducted on 20 Jan 2024, for all members, with 33 members receiving training on 20 Jan 2024. The training schedule aligns with the plan for 2023 and 2024. The training curriculum covers various topics outlined in the indicator, such as IPM, record control, cost management, and task planning. During the audit, verification confirmed that the training material comprehensively covered these topics.</p> | Complied |
| Milestone B | The group and smallholders maximize use of IPM approaches to minimize use of pesticides and herbicides on their farm. | <p>Aoluk Oil Palm Collaborative Enterprise has established the "Aoluk Oil Palm Collaborative Enterprise Group Sustainability Manual" on pages 43-45, Issue 10 Jan 2022. An Integrated Pest Management (IPM) program has been developed by the enterprise as part of the "Program Aoluk Oil Palm Collaborative Enterprise Group 2023 – 2024." Evidence of program implementation is available in the documentation dated 07 Mar 2024.</p> <p>Notably, there have been no reported pest attacks since 2020 to the present, based on pest and disease monitoring. However, there have been instances of disease attacks by Ganoderma, ranging from 1% to 3%. To address these issues, the member has implemented various measures as part of integrated pest management. These include conserving natural enemies of pests, such as snakes to control rats, and eagles and barn owls to combat rodent populations. Additionally, smallholder members employ IPM approaches to minimize the use of</p> | Not Applicable |

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| | | pesticides and herbicides on their farms, with many opting for mechanical weed control methods to reduce herbicide usage. | |
| Internal Control System requirements for smallholder groups. | | | |
| A – ICS: Group entity and group management requirements | | | |
| A.1 The Group demonstrates that they are legally formed. | | | |
| A.1.1 | | | |
| Eligibility | The Group has appointed a Group manager | Mr. Jesada Chotiwattanasak has been appointed as the Group manager of the Aoluk Oil Palm Collaborative Farming Community Enterprise. He has held this position since 2023, as stated in the appointment letter of Group Committee and Group Manager, and his appointment has continued as specified in group meeting report on 7 March 2024. The Group manager is the individual. The meeting for the appointment of The Group manager was made available to the group members on 7 March 2024 via group meeting. All members were acknowledgement and approved Group manager including Group committee. | Complied |
| Milestone A | The Group has appointed a Group manager | | Complied |
| Milestone B | The Group has appointed a Group manager | | Complied |
| | | | |
| Eligibility | The Group manager has evidence of legal identity. | Aoluk Oil Palm Collaborative Farming Community Enterprise is a registered organization according to the Community Enterprise Promotion Act, B.E.2548 (2005) that latest registered with Aoluk District Agriculture office of Year 2024 valid till 31 Dec 2024. The registration number is 5-81-05-01/10017. As required by Thai laws, the group has to re-register with Aoluk District Agriculture Office, Krabi | Complied |
| Milestone A | The Group manager has evidence of legal identity. | | Complied |

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| Milestone B | The Group manager has evidence of legal identity. | Province. The certificate of registration is kept by Group manager at the office. | Complied |
| A.1.3 | | | |
| Eligibility | The group has membership requirements. | <p>The group has defined membership requirements in a "Group Management Manual" issue on 07 March 2024 which includes procedures and relevant forms. It covers all activities for the management of the group members.</p> <p>The procedure for new member registration and related member requirements is also stated in the manual under Topic: Member qualification, Membership, meetings, and group funding, sub-topic "Member Qualification" in pg.70, which includes the details of member qualifications as follows:</p> <ol style="list-style-type: none"> 1. Demonstrate commitment to sustainable palm oil production according to RSPO standards. 2. Have palm oil cultivation areas not exceeding 312.5 Rai (50 ha). 3. Cooperate in participating regularly in training and group meetings. 4. Manage palm plantations in accordance with RSPO organization requirements. 5. Accept and adhere strictly to group regulations. <p>The implementation for registration has been verified based on a review of the personal files of each member, including the membership application, declaration to implement sustainability standards, ID card, and land rights document. The Group manager or group committee evaluates the readiness of new applicants according to the Smallholder Evaluation Form (Gap Analysis) through interviews and documentary evidence, recording information accordingly. Subsequently, the group will organize orientation provides knowledge and understanding about</p> | Complied |
| Milestone A | The group has membership requirements. | | Complied |
| Milestone B | The group has membership requirements. | | Complied |

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| | | <p>RSPO standards, internal control systems. Furthermore, smallholders must sign the Agreement for Sustainable Palm Oil Smallholders and Acknowledge the smallholder declaration letter.</p> <p>Based on document review, all existing and new members were evaluated against the membership requirements.</p> | |
| A.1.4 | | | |
| Eligibility | All members have signed and acknowledged membership requirements. | All members of group who joined the Aoluk Oil Palm Collaborative Farming Community Enterprise signed the Application letter of membership and Acknowledge the smallholder declaration letter (see criteria 1.3). In addition, they also fulfil the membership requirement as described in indicator A.1.3. | Complied |
| Milestone A | All members can demonstrate understanding of membership requirements. | <p>Based on interviews with sampled members and sampling records signed and acknowledged, it was found that they can demonstrate understanding of membership requirements such as;</p> <ul style="list-style-type: none"> Member ID: ALK-KB-002 (Aree L.), Joining group on 04 Feb 2022, Signed agreement and Smallholder Declaration letter on 04 Feb 2022. Member ID: ALK-KB-020 (Prasit P.) Joining group on 15 Mar 2021, Signed agreement and Smallholder Declaration letter on 15 Mar 2021. Member ID: ALK-KB-022 (Prathip S.), Joining group on 19 Mar 2021, Signed agreement and Smallholder Declaration letter on 19 Mar 2021. <p>Moreover, the group had regulation for the one who fail to comply, will be given verbal warning, then prohibit for using RSPO logos, certificates and documents and up to withdrawal from the membership.</p> | Complied |
| Milestone B | All members can demonstrate understanding of membership requirements. | | Complied |
| A.2 The Group manager is responsible for managing the Group for certification. | | | |

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| A.2.1 | | | |
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| Eligibility | The Group manager has planned for the implementation of the ICS. | <p>The Group manager has arranged the “Internal Control System Plan” as identified in business plan of year 2023 - 2024, it contains activities and implementation time targets.</p> <p>The plan has been reviewed and approved on 7 march 2024 by group chairman and group manager and communicate to all members via the annual meeting on 7 March 2024. Some of the programs that including:</p> <ol style="list-style-type: none"> 1. Recruit members to join the group. 2. Preliminary assessment for new member. 3. Internal audit. 4. Training. 5. Annual meeting. 6. External audit. <p>The ICS has planned and implemented for all the group members.</p> | Complied |
| Milestone A | The Group manager can demonstrate compliance of the ICS by individual members. | <p>The Group manager has implemented an ICS plan for each member across various activities. Based on document reviewed and interviewed of sample members found that group members have complied with the specified membership recruitment procedures and received a preliminary evaluation and receive training courses as planned.</p> | Complied |
| Milestone B | The Group manager can demonstrate compliance of the ICS by individual members. | <p>Furthermore, the internal audit for the year 2024 of group members was conducted following the procedure. There was a total of 13 internal auditors appointed by the Group Chairman, and the internal audit was conducted in Nov-Dec 2023 for new members.</p> <p>Based on interviews with sampled group members, it was found that the ICS plan has been implemented in various activities as planned, including conducting internal audits, group meetings, and training courses.</p> | Complied |

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| A.2.2 | | | |
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| Eligibility | The Group manager demonstrates understanding of the ISH Standard, group certification and related topics and has sufficient resources to manage the group. | <p>The Group manager was able to demonstrate good understanding of the RSPO ISH Standard, He has attended the various training courses related to RSPO ISH standard e.g., Thailand Oil Palm Smallholder Academy (TOPSA) in Module RSPO and Group Management training on 18 Oct 2019, and training of RSPO ISH standard and ICS on 26 Oct 2023 by Ms Benchamaporn P., trainer from prince of Songkhla university</p> <p>The Group manager can effectively allocate resources to manage the group. Existing resources are utilized according to the organizational structure of the group, guided by the direction of the Group manager. This includes the latest appointment of the group committee on 7 Mar 2024, which consists of specific individuals such as;</p> <ul style="list-style-type: none"> • Sustainability department • Garden management department • Occupational health and safety department • Database and document control department • Social and labour department | Complied |
| Milestone A | The Group manager can demonstrate capacity to manage and operate group certification and certification requirements. | Based on interview with Group manager confirmed that he has sufficient understanding on RSPO ISH standard for independent smallholder and related topics to RSPO ISH standard. He used his knowledge from several training courses related to RSPO ISH standards and palm oil production to teach the group members. The Group manager can explain the procedures for implement RSPO ISH standard e.g. process for recruiting group members, process of training, process of internal audit, etc. | Complied |
| Milestone B | The Group manager can demonstrate capacity to manage and operate group certification and certification requirements. | | Complied |

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| | | Furthermore, the Group manager plays an important role in supporting ICS implementation by providing advice, resolving issues related to its implementation, and ensuring compliance with group regulations. | |
| A.2.3 | | | |
| Eligibility | A group annual training plan is available covering the RSPO Independent Smallholder Standard, group management (which includes group objectives, structure, relevant procedures and the certification process) and other topics as outlined in the ISH Standard. | <p>The group's annual training plan was conducted as identified in the business plan for 2023 - 2024, which includes activities and implementation time targets.</p> <p>The plan has been discussed with the group committee, after which it was communicated to group members. Sample programs included in the plan are as follows:</p> <ul style="list-style-type: none"> • RSPO ISH standard • ICS (Internal Control System) • Price mechanism • GAP • Garden management • BMPs (Best Management Practices) • IPM (Integrated Pest Management) • Chemical use • Recording of production costs • Occupational Health and Safety (OHS) training • Training on High Conservation Values (HCVs) | Complied |

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| Milestone A | <p>The Group manager implements a phased approach to ensure members have progressively attended training on the ISH Standard, group management and other topics as outlined in the ISH Standard according to the group annual training plan.</p> | <p>The Group manager provided training to the group members according to the established training plan. The training attended by the members was recorded in attendance sheets, and the training documents were communicated and distributed to the members, and kept in their document folders.</p> <p>Based on document verification and interviewed sampled members found that all member and labor attended important training courses as following;</p> <table><tr><th>Training courses</th><th>Date</th><th>No. of Participants</th></tr><tr><td>1) Training for knowledge of RSPO by Group manager and Group facilitator; - Soil sample analysis. - Clarifies basic understanding of smallholder declaration letter - Management of new planting areas - Procedure for granting permission to use fire (burning) - FPIC process - Land Law - Forced labor and child labor - Paying wages and minimum wages -How to file a complaint - Clarification of contractors hired to spray chemicals. - Prepare plans and record results of accidents. - Discrimination, harassment and violation of rights</td><td>07 Jul 2024</td><td>30</td></tr><tr><td>2) Training for GAP, IPM, Garden management and BMPs (Best</td><td>21 Feb 2024</td><td>30</td></tr></table> | Training courses | Date | No. of Participants | 1) Training for knowledge of RSPO by Group manager and Group facilitator; - Soil sample analysis. - Clarifies basic understanding of smallholder declaration letter - Management of new planting areas - Procedure for granting permission to use fire (burning) - FPIC process - Land Law - Forced labor and child labor - Paying wages and minimum wages -How to file a complaint - Clarification of contractors hired to spray chemicals. - Prepare plans and record results of accidents. - Discrimination, harassment and violation of rights | 07 Jul 2024 | 30 | 2) Training for GAP, IPM, Garden management and BMPs (Best | 21 Feb 2024 | 30 | Complied |
|--|--|---|------------------|------|---------------------|--|-------------|----|--|-------------|----|----------|
| Training courses | Date | No. of Participants | | | | | | | | | | |
| 1) Training for knowledge of RSPO by Group manager and Group facilitator; - Soil sample analysis. - Clarifies basic understanding of smallholder declaration letter - Management of new planting areas - Procedure for granting permission to use fire (burning) - FPIC process - Land Law - Forced labor and child labor - Paying wages and minimum wages -How to file a complaint - Clarification of contractors hired to spray chemicals. - Prepare plans and record results of accidents. - Discrimination, harassment and violation of rights | 07 Jul 2024 | 30 | | | | | | | | | | |
| 2) Training for GAP, IPM, Garden management and BMPs (Best | 21 Feb 2024 | 30 | | | | | | | | | | |

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| | | Management Practices) by Group facilitator. | | | | |
| | | 3) Training on Occupational Health and Safety (OHS) and testing of chemicals in the blood Measures for handling workers' complaints by Public Health Officer, Phrasaeng Hospital | 20 Jan 2024 | 23 | | |
| | | 4) Training on Knowledge about stem rot disease in oil palm by Plant Protection Unit, Krabi Province | 16 Aug 2023 | 23 | | |
| | | 5) Training on RSPO standard (TOPSA project) by Department of Agricultural Extension and GIZ | 03 Sep 2020 | 23 | | |
| | | Based on the interview and document review, the Group Manager has ensured that all members attend the training as scheduled. In case a member cannot attend the training, follow-up training and training materials are shared with the group member via the Line application or provided in hardcopy by the group committees during their visits to the member's area. | | | | |
| Milestone B | All members attended training and can demonstrate understanding of the ISH Standard, group management and certification requirements including awareness on BMPs, HCV, environmental protection, social welfare of workers and business operations. | Based on document verification and interviewed with the sampled smallholder show that group members had attended the training courses as planned and demonstrated their understanding knowledge from the training courses as mentioned in A.2.3 MS A | | | | Complied |
| B – ICS: Policies and management | | | | | | |
| B.1 The group Internal Control System contains documented policies and procedures for operational management. | | | | | | |
| B.1.1 | | | | | | |

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| Eligibility | A group Internal Control System is available for operational management including procedures of expulsion and sanctions for members who fail to comply, and a procedure to conduct internal audits | <p>A group ICS is available for operational management including procedures of expulsion and sanctions for members who fail to comply, which are specified in "Group Management Manual" issued on 07 Mar 2024, topic 3.10 Punishments and penalties on page 99 and the procedure for conduct internal audit in topic 5.1 Internal Audit of RSPO standard on page 212-215.</p> <p>Detail of the procedures define that;</p> <ul style="list-style-type: none"> • Internal audit consists of 1) internal audits for group management and 2) internal audits for group members. • Internal audit for group management specify that there will be conducted at least once a year by internal auditor or 2nd party auditor who was approved by group and that assessment and non-conformity shall be completed before the certification body's audit. Internal audit for group members specify that there will be conducted at least once a year by internal auditor who is appointed from group. For the first year, all group members are required to undergo an internal audit. • The process of internal auditing includes the preparation for audit plan, appoint internal auditors, audit preparation, conducting the audit, audit results summary, control of nonconformity and Internal Audit reporting. • For the sanction process, it has been identified that members who are found to be non-compliant with the requirements must face consequences. Punishments will be imposed based on the severity of the non-compliance, ranging from warning, prohibit for using RSPO logos, certificates and documents and then withdrawal from group membership, which will be considered as the final step. | Complied |
| Milestone A | The ICS is implemented and an internal audit is conducted for at least half of the group members and all audit findings are closed | The group is audit for MS-B certification. Please refer to MS-B information. | Complied |

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| Milestone B | The ICS is implemented and an annual internal audit of the group is conducted for all group members and all audit findings are resolved. | <p>The group has shown the implementation of internal audit for 2023. An internal audit in Eligibility, Mile Stone A and Mile Stone B Stage were conducted in Nov - Dec 2023 for both existing members and new members. Additionally, an internal audit for group management was conducted on 28 Mar 2024.</p> <p>Data verified of internal audit Y2023 implementation as following;</p> <ul style="list-style-type: none"> • According to the method for internal audits, 100% of group members were audited as detailed in the "Group Management Manual" issued on 07 Mar 2024, on page 213. Document review revealed that the internal audit plans were divided into 5 sub-groups which each audited by different internal auditor teams. The audit was conducted as planned, covering 33 group members. • It was found that the appointment of internal auditors for group members was conducted through a group meeting on 04 Sep 2023 by appointing 5 teams of auditors, totaling 13 people, such as Sathit P., Nanthana S., Prateep S. (Team 1), Somnuek T., Athorn C., Sawai C. (Team 2). • Furthermore, the appointment of the second-party auditor for the group management audit was documented through a contract letter dated 10 Mar 2024. This letter appointed Mr. Somjet Lertgrai, an RSPO expert, as the second-party auditor for this audit. • All internal auditors have passed the RSPO ISH and internal audit training courses such as Internal Audit course by Asst.Prof.Dr. Benjamaporn Pimpa, Prince of Songkla University on 26 Oct 2023 and training of RSPO standard as TOPSA module training by Department of Agricultural Extension and GIZ on 03 Sep 2020, the 2nd party auditor has completed RSPO ISH standard training by the Master Training course on 25-26 July 2020. • The result of the latest internal audit, there were 3 case of non-compliance of the group member audit, such as 4.1 MS B and 3.3 MS A, 3.3. MS B, and 3 case of group management such as A 2.1 E. Corrective actions and monitoring of all NCs were conducted. | Non-compliance |
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| | | However, based on the document review, it was found that the internal audit evidence was not completed in all requirements, as 33 group members (all of the group members) were not audited in principle criteria 3.3 – 3.5. Furthermore, it was found that the recording of internal audit results did not align with actual practice. Refer to the details as Major NC no. 2515215-202407-M1. | |
| B.1.2 | | | |
| Eligibility | Basic information, farm information, production data, legal documentation of group members and signed Smallholder Declarations are available to The Group manager | The group has retained all member information, including basic details, farm information, production data, legal documentation of group members, and signed smallholder declarations. Based on document verifications, interviews with the Group manager, and interactions with group members during field observation, it was confirmed that all members have signed and acknowledged the membership requirements. | Complied |
| Milestone A | Basic information, farm information, production data, legal documentation of group members and signed Smallholder Declarations are available to The Group manager | All members need to sign in several documents and submitted attach documents as follows: | Complied |
| Milestone B | Basic information, farm information, production data, legal documentation of group members and signed Smallholder Declarations are available to The Group manager | <ul style="list-style-type: none"> • Membership application. • Power of attorney (if any) • Plot location of member. • The land ownerships evidence such as a land deed. • Group member regulation and declaration letter for sustainable palm oil smallholders. <p>For FFB production data, group members have record information in the forms which were provided by group, then their information can be collected and verification by group committee every 3 months.</p> <p>Example of a group member data as;</p> <ul style="list-style-type: none"> • Member ID: ALK-KB-020 (Prasit P.), Joining group on 15 Mar 2021, Signed agreement and Smallholder Declaration letter on 15 Mar 2021, Title Deed No. 13634 covering the production area of 3.62 ha. | Complied |

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| | | <ul style="list-style-type: none"> Member ID: ALK-KB-014 (Panit T.), Joining group 19 Aug 2021, Signed agreement and Smallholder Declaration letter on 19 Aug 2021, Title Deed No. 6552 covering the production area of 2.60 ha. Member ID: ALK-KB-006 (Chinda T.), Joining group on 20 Jun 2021 Signed agreement and Smallholder Declaration letter on 20 Jun 2021, Title Deed No. 10722 covering the production area of 1.74 ha. | |
| C – ICS: Group Business planning | | | |
| C.1 The group has a Business Plan prepared with the participation and contributions of all group members | | | |
| C.1.1 | | | |
| Eligibility | <p>An annual group business plan is available, which includes</p> <ul style="list-style-type: none"> Production and income forecasting based on historical records Plans for expansion | <p>Aoluk Oil Palm Collaborative Enterprise has established the business plans for Y2023-2024. The production and income forecasting based on historical records in Y2023, which divided cost into 2 sources as administration cost and RSPO implementation cost.</p> <p>The group has plans to increase the number of members in 2024, therefore incentivizing participating members by supporting by 1). Sell on credit 100% (sell according to the certified production amount As for the FFB that can be sold to any ramp at a good price), the income will be divided into 2 parts, 50% will be divided back to the members from the income from credit sales, divided back to 70 baht per ton of production, and 50% will be deducted from the group to use as Group administrative expenses next year 2). The group has a connection with an oil palm mill or made an MOU (by adding more price). Members who can sell FFB to the palm oil mill will receive an additional difference more price. But cannot sell credit. As for members who cannot sell FFB to the palm oil mill, can be sold to local sales ramp and the production numbers of certified members can be sold on credit.</p> | Complied |

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| Milestone A | The group business plan is implemented and reviewed at least annually. | The business plan was reviewed on an annual basis by the group committees, they plan to sell as the credit FY2024. All committees will discuss the budget during the annual budget meeting. Implementation of the budget is carried out by the group manager, and communication on monitoring the effectiveness of implementation to all group members via annual meeting. The last review was on 07 Mar 2024. All of the group members are involved in the implementation and review of the business plan when they have the annual meeting | Complied |
| Milestone B | The group demonstrates financial stability and growth, and is able to support itself financially. | During the group committee meetings, the group reports on the plan FY2024 and review all of plan such as plan for certificated ,expansion member for the group. For the Furthermore, the reports supported by the group are presented,. Members are participant at annual group meetings held on 07 Mar 2024, | Complied |
| C.2 The ICS of the group is integrated with the group's management plan. | | | |
| C.2.1 | | | |
| Eligibility | <p>A group management plan is available which includes:</p> <ul style="list-style-type: none"> • Training/capacity building plans to improve productivity of group members. • An approach to strengthen links within the supply chain. • Plan for continuous improvement projects (i.e. on waste, soil, etc.), if any. | <p>Aoluk Oil Palm Collaborative Enterprise has established a group management plan, which serves as its business plan. Upon reviewing the business plans for the years 2023 and 2024, the important components identified in the business plan are as follows:</p> <ol style="list-style-type: none"> 1. New member assessment cost 2. Group meeting cost 3. Survey member plots for replanting cost 4. Training cost 5. Member health examination cost 6. Internal Audit cost 7. External Audit cost <p>For training courses were planned such as;</p> <ul style="list-style-type: none"> • RSPO ISH • Group management | Complied |

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| | | <ul style="list-style-type: none"> • ICS • GAP/BMP, IPM • HCV assessment • Occupational health and safety • SEIA and FPIC | |
| Milestone A | The group management plan is implemented and reviewed at least annually. | <p>All components of the management plan are implemented by identified personnel in charge. Once implemented, these individuals or related parties are responsible for reporting the process and results to the Group Manager. Subsequently, these reports are presented again during meetings.</p> <p>The group management plan undergoes review at least once a year, with the latest reviews conducted during the annual group meetings on 07 March 2024. These meetings present the annual report of group implementation, plans for certificated, plan for reduce fuel, plan for reduce GHG, plan for reduce cost and other relevant topics.</p> <p>The Group Manager reviews the revised plan with all group committee members to ensure consensus and communicates any changes to the group members.</p> | Complied |
| Milestone B | The Group manager demonstrates the group's compliance with this ISH Standard. | The Group Manager (Mr. Jesada Choti Wattanasak) has been able to demonstrate compliance with the RSPO ISH standard by ensuring adherence to legal requirements, following the annual business plan, conducting an annual review during the annual group meeting, and maintaining records of smallholder activities, including production, fertilizer input, and financial reports. Despite findings of non-conformities in internal audits (including those from Certification Bodies), during interviews with the Group Manager, it was evident that he has a solid understanding of the standards and is committed to operational excellence. All Non-Conformities (NCs) identified in | Complied |

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| | | internal audits have been diligently followed up and addressed, as verified during subsequent surveillance assessments. | |
| D – ICS: Group trading system for certified volumes | | | |
| D.1 The group has a procedure and system in place for the tracking of FFB. | | | |
| D.1.1 | | | |
| Eligibility | Record sheets to track the annual production and sales of certified volumes, covering traceability of producers and/or traders are available. | <p>Chaiburi Oil Palm Collaborative Farming Community Enterprise established practice to record and tracking annual production state on manual Group issued 7 Mar 2024 at page 116/276</p> <p>The RSPO certified FFB tracking and tracing will be based on current Chaiburi Oil Palm Collaborative Farming Community Enterprise on traceability. The Record sheets to track the annual production and sales of FFB volumes, covering traceability of producers will be collected by weighing ticket system and Excel file System by group manager every three months.</p> | Complied |
| Milestone A | Group manager maintains annual production records and sales of certified volumes. | According to this is initial certification assessment still not sold as certified RSPO products. However, The group manager maintains the annual production and sales of certified volumes, covering traceability of all group members in an excel file. A group members have to report the sales and/or harvested FFB volume to the group manager every three months. RSPO certified can be traced from the palm trace system as defined in the sustainable manual of FFB purchase of RSPO group members. The group has established the procedures and a system in place for tracking FFB. Group Manager has been appointed and responsible for selling the credit. The group manager has compared FFB volume from the previous year with every 3 months and keeps the result to estimate FFB in the next cycle. | Complied |

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| Milestone B | Group manager maintains annual production records and sales of certified volumes of all FFB sources. | <p>The group manager maintains the annual production and sales of certified volumes, covering traceability of all group members in an excel file.</p> <p>A group members have to report the sales and/or harvested FFB volume to the group manager every three months. RSPO certified can be traced from the palm trace system as defined in the sustainable manual of FFB purchase of RSPO group members. The group has established the procedures and a system in place for tracking FFB. Group Manager has been appointed and responsible for selling the credit. The group manager has compared FFB volume from the previous year with every 3 months and keeps the result to estimate FFB in the next cycle.</p> | Complied |
| D.2 The group documents and implements a system for the tracking of FFB | | | |
| D.2.1 | | | |
| Eligibility | Not Applicable | Not Applicable | Not Applicable |
| Milestone A | The Group manager maintains annual production data and sales of certified volumes through Book and Claim for the group based on actual receipts for and sales by all members | According to this is initial certification assessment, the group manager informed planned the practice for maintaining annual production data and sales of certified volumes through Book and Claim for the group based on actual receipts for and sales by all members. | Complied |
| Milestone B | The Group manager maintains annual production data and sales of certified volumes through physical or Book and Claim for the group based on actual receipts and sales for all members and 100% all certified volumes. | <p>Group manager established to maintains the annual production and sales of certified volumes, covering traceability of all group members in excel file. Group members have to report sales and/or harvested FFB volume to group manager every three month of sale for certified RSPO.</p> <p>Group manager has been appointed and responsible for selling the credit. The group has established the procedures and a system in place for tracking FFB. FFB produced by smallholder members are recorded</p> | Complied |

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| | | in member's logbook by each member and then the group manager will be reviewed correct data. The data is defined to record as FFB net weight, name of smallholder member, ID number, year planted, area, price and buyer name. for This is initial audit no data selling for verify. Group decide to sold as 100 % of credit at first year, when certified. | |
| D.3 The group has a procedure and system for premium distribution. | | | |
| D.3.1 | | | |
| Eligibility | The group and Group manager have agreed on how the premiums should be used and the agreement is recorded and communicated to the group members. Prices, premiums, and timing of premium payment are clearly communicated and transparent to all group members. Premiums disbursed to members at all stages are recorded and the premiums are paid in a timely and convenient manner. | From sampling inquiries with group members and verification of the group's manual documents, including the record of the annual general meeting on 7/03/2024, it was found that members still do not know or understand how the premium price will be used. The agreement regarding this matter, including the amount of the premium price and the timing of such payment, will be clearly recorded and communicated to the group members. Reference the Major NCR no. 2515215-202407-M2 | Non-compliance |
| Milestone A | The disbursement of premiums, including price and timing of the disbursement to group members is clearly recorded. | This is the initial certification. The group still has no income from credit sales. Therefore, there is still no evidence of the disbursement of premiums, including the price and timing of the disbursement to the group members to verify. | Complied |
| Milestone B | The disbursement of premiums, including price and timing of the disbursement to group members is clearly recorded. | | Complied |

Appendix B: GHG Reporting Executive Summary

The GHG emissions that were produced in [year] for [mill] and supply base was calculated using the PalmGHG Calculator version 3.0.1. The assessment team had verified the data input in the PalmGHG Calculator against operations records. PalmGHG Calculation Options selected 'Full version' and 'Exclude LUC Emission' calculation option is not applied. The records verified includes:

- i. Estates area planted data
- ii. Fuel consumed
- iii. Mill datas include CPO produced, PKO Produced and FFB Processed
- iv. Fertilizer consumed data for both estates and smallholders.

The summary of the Net GHG emitted in [YEAR] for [MILL] and supply base are as following:

| Emission per product | tCO ₂ e/tProduct | Extraction | % |
|----------------------|-----------------------------|------------|-----|
| CPO | N/A | OER | N/A |
| PKO | N/A | KER | N/A |

| Production | t/yr | Land Use | Ha |
|--------------|------|-----------------------------|------------|
| FFB Process | N/A | OP Planted Area | N/A |
| CPO Produced | N/A | OP Planted on peat | N/A |
| PKO Produced | N/A | Conservation (forested) | N/A |
| | | Conservation (non-forested) | N/A |
| | | Total | N/A |

Summary of Field Emission and Sink

| | Own Crop* | | Group | | 3 rd Party | | Total | |
|--|--------------------|--------------------------|--------------------|--------------------------|-----------------------|--------------------------|--------------------|--------------------------|
| | tCO ₂ e | tCO ₂ e / FFB | tCO ₂ e | tCO ₂ e / FFB | tCO ₂ e | tCO ₂ e / FFB | tCO ₂ e | tCO ₂ e / FFB |
| Emission | | | | | | | | |
| Land Conversion | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| CO ₂ Emission from fertilizer | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| NO ₂ Emission | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Fuel Consumption | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Peat Oxidation | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Sink | | | | | | | | |
| Crop Sequestration | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Conservation Sequestration | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Total | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

*Note: Includes both estates and smallholders

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Summary of Mill Emission and Credit

| | tCO ₂ e | tCO ₂ e/tFFB |
|------------------------------|--------------------|-------------------------|
| Emission | | |
| POME | N/A | N/A |
| Fuel Consumption | N/A | N/A |
| Grid Electricity Utilization | N/A | N/A |
| Credit | | |
| Export of Grid Electricity | N/A | N/A |
| Sales of PKS | N/A | N/A |
| Sales of EFB | N/A | N/A |
| Total | N/A | N/A |

Summary of Kernel Crusher Emission and Credit (if applicable)

| Emissions | tCO ₂ e |
|--------------------------------|--------------------|
| PK from own mill | N/A |
| PK from other source | N/A |
| Fuel Consumptions | N/A |
| Total Crusher emissions | N/A |

*This mill has no kernel crusher operation.

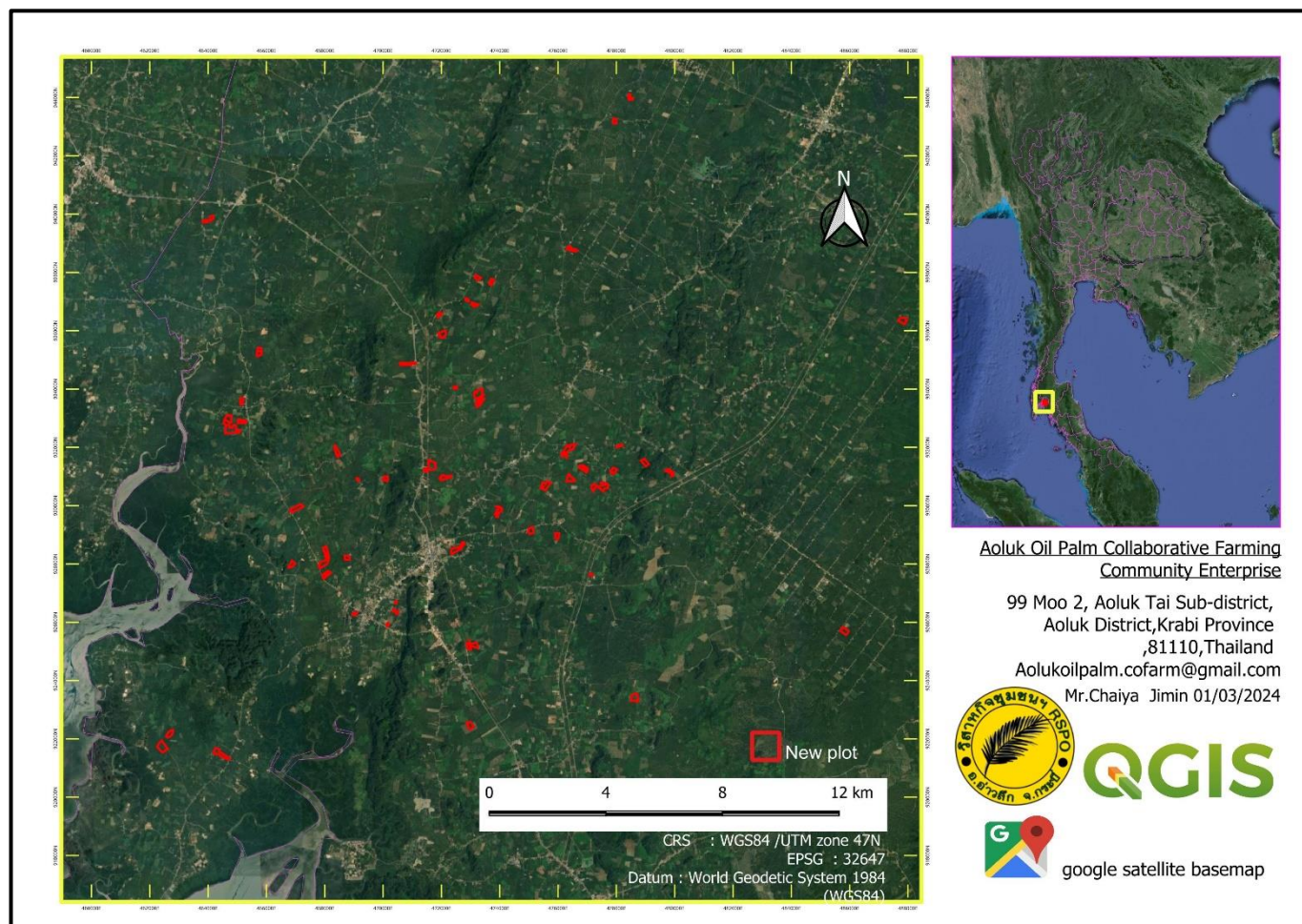
| Palm Oil Mill Effluent (POME) Treatment: | |
|--|-----|
| Divert to Compost (%) | N/A |
| Divert to anaerobic diversion (%) | N/A |

| POME Diverted to Anaerobic Digestion: | |
|--|-----|
| Divert to anaerobic pond (%) | N/A |
| Divert to methane captured (flaring) (%) | N/A |
| Divert to methane captured (energy generation) (%) | N/A |

Appendix C: Location Map of Certification Unit and Supply bases

Not Applicable

Appendix D: Estate Field Map



**Geographical map of member's plots owned by group members of
Aoluk Oil Palm Collaborative Farming Community Enterprise**

Appendix E: List of Smallholder Registered and/or sampled

| Sampling Group | Current Certification | Scope Extension | Other: <i>Please specify</i> |
|--------------------------------------|---|-----------------|------------------------------|
| Risk Factor Applied | 2.0 (high risk) | N/A | N/A |
| Justification of Risk Factor Applied | The ISH certification Phase Milestone B | | |
| Number of samples | Total 12 of 33 group members | | |
| Remarks | None. | | |

| No | Name of farmer | Plot | Location | GPS Reference | | Area Summary (Ha) | | Forecasted annual FFB Production (MT) | Date of joining | Smallholder ID |
|----|-----------------------|---------------|---------------------------|---------------|---------------|----------------------|--------------|---------------------------------------|-----------------|----------------|
| | | | | Latitude (N) | Longitude (E) | Total Certified Area | Planted Area | | | |
| 1 | Amnart Thongrit | ALK-KB-001-1 | Khao Yai, Aoluk, Krabi | 8°27'37.9" N | 98°41'19.9" E | 2.13 | 2.13 | 36.32 | 6/26/2021 | ALK-KB-001 |
| | Amnart Thongrit | ALK-KB-001-2 | Khao Yai, Aoluk, Krabi | 8°27'41.5" N | 98°41'20.2" E | 1.59 | 1.59 | 27.11 | 6/26/2021 | |
| | Amnart Thongrit | ALK-KB-001-3 | Khao Yai, Aoluk, Krabi | 8°28'57.9" N | 98°45'40.6" E | 1.76 | 1.76 | 30.01 | 6/26/2021 | |
| 2 | Aree Leetanakul | ALK-KB-002-1* | Khao Yai, Aoluk, Krabi | 8°26'50.6" N | 98°45'26.3" E | 8.20 | 7.03 | 119.86 | 2/4/2021 | ALK-KB-002 |
| | Aree Leetanakul | ALK-KB-002-2 | Khao Yai, Aoluk, Krabi | 8°26'45.9" N | 98°45'25.9" E | 0.96 | 0.16 | 2.73 | 2/4/2021 | |
| 3 | Arthon Changkham | ALK-KB-003-1 | Aoluk Tai, Aoluk, Krabi | 8°25'24.8" N | 98°43'41.8" E | 1.89 | 1.89 | 32.22 | 2/20/2020 | ALK-KB-003 |
| 4 | Athiras Dumdee | ALK-KB-004-1 | Ao-luk Nuea, Aoluk, Krabi | 8°24'01.1" N | 98°45'03.1" E | 5.79 | 5.36 | 91.39 | 2/20/2020 | ALK-KB-004 |
| 5 | Bunmi Thamsuea | ALK-KB-005-1 | Aoluk Nuea, Aoluk, Krabi | 8°25'54.8" N | 98°48'04.1" E | 0.79 | 0.79 | 13.47 | 8/19/2021 | ALK-KB-005 |
| | Bunmi Thamsuea | ALK-KB-005-2 | Aoluk Nuea, Aoluk, Krabi | 8°25'10.1" N | 98°46'41.2" E | 5.10 | 5.02 | 85.59 | 8/19/2021 | |
| 6 | Chinda Thaithong | ALK-KB-006-1 | Khao Yai, Aoluk, Krabi | 8°28'37.9" N | 98°45'13.1" E | 0.79 | 0.79 | 13.47 | 6/26/2021 | ALK-KB-006 |
| | Chinda Thaithong | ALK-KB-006-2 | Khao Yai, Aoluk, Krabi | 8°32'26.0" N | 98°48'15.0" E | 0.65 | 0.65 | 11.08 | 6/26/2021 | |
| | Chinda Thaithong | ALK-KB-006-3* | Khao Yai, Aoluk, Krabi | 8°31'57.8" N | 98°47'58.5" E | 1.74 | 1.74 | 29.67 | 6/26/2021 | |
| | Chinda Thaithong | ALK-KB-006-4 | Khao Yai, Aoluk, Krabi | 8°28'33.4" N | 98°45'22.4" E | 1.65 | 1.65 | 28.13 | 6/26/2021 | |
| | Chinda Thaithong | ALK-KB-006-5 | Khao Yai, Aoluk, Krabi | 8°32'22.4" N | 98°48'16.6" E | 0.74 | 0.74 | 12.62 | 6/26/2021 | |
| 7 | Kanokphan Tinphangnga | ALK-KB-007-1 | Aoluk Nuea, Aoluk, Krabi | 8°20'42.0" N | 98°45'16.8" E | 3.58 | 3.58 | 61.04 | 6/23/2021 | ALK-KB-007 |
| 8 | Kitja Saengariyanan | ALK-KB-008-1* | Laem Sak, Aoluk, Krabi | 8°20'17.8" N | 98°39'22.4" E | 6.81 | 6.81 | 116.11 | 8/19/2021 | ALK-KB-008 |
| 9 | Kunpisit Nilpetch | ALK-KB-009-1 | Laem Sak, Aoluk, Krabi | 8°20'45.2" N | 98°39'55.0" E | 3.29 | 3.29 | 56.09 | 10/10/2021 | ALK-KB-009 |
| 10 | Nanthana Sakunkaeo | ALK-KB-010-1 | Aoluk Nuea, Aoluk, Krabi | 8°25'29.0" N | 98°47'23.1" E | 2.46 | 2.46 | 41.94 | 3/4/2021 | ALK-KB-010 |
| 11 | Nira Thinphang-nga | ALK-KB-011-1 | Aoluk Tai, Aoluk, Krabi | 8°25'33.6" N | 98°44'31.7" E | 7.74 | 7.63 | 130.09 | 12/21/2022 | ALK-KB-011 |
| 12 | Noi Rapbamphoeng | ALK-KB-012-1 | Aoluk Tai, Aoluk, Krabi | 8°23'42.5" N | 98°41'56.8" E | 2.34 | 2.34 | 39.90 | 8/19/2021 | ALK-KB-012 |

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| No | Name of farmer | Plot | Location | GPS Reference | | Area Summary (Ha) | | Forecasted annual FFB Production (MT) | Date of joining | Smallholder ID |
|----|--------------------|---------------|--------------------------|---------------|---------------|----------------------|--------------|---------------------------------------|-----------------|----------------|
| | | | | Latitude (N) | Longitude (E) | Total Certified Area | Planted Area | | | |
| | Noi Rapbamphoeng | ALK-KB-012-2 | Aoluk Tai, Aoluk, Krabi | 8°22'12.7"N | 98°42'08.2"E | 0.38 | 0.38 | 6.48 | 8/19/2021 | |
| 13 | Oangkhan Yilak | ALK-KB-013-1 | Aoluk Nuea, Aoluk, Krabi | 8°25'09.0"N | 98°47'45.5"E | 5.27 | 5.27 | 89.85 | 6/23/2021 | ALK-KB-013 |
| | Oangkhan Yilak | ALK-KB-013-2 | Aoluk Nuea, Aoluk, Krabi | 8°25'08.0"N | 98°47'35.6"E | 2.23 | 2.23 | 38.02 | 6/23/2021 | |
| | Oangkhan Yilak | ALK-KB-013-3* | Aoluk Nuea, Aoluk, Krabi | 8°25'26.5"N | 98°49'00.3"E | 2.36 | 2.36 | 40.24 | 6/23/2021 | |
| 14 | Panit Tarangkoon | ALK-KB-014-1 | Aoluk Tai, Aoluk, Krabi | 8°26'24.9"N | 98°40'44.9"E | 4.12 | 4.12 | 70.25 | 8/19/2021 | ALK-KB-014 |
| | Panit Tarangkoon | ALK-KB-014-2 | Aoluk Tai, Aoluk, Krabi | 8°26'13.7"N | 98°40'45.5"E | 10.87 | 10.87 | 185.33 | 8/19/2021 | |
| | Panit Tarangkoon | ALK-KB-014-3* | Aoluk Tai, Aoluk, Krabi | 8°26'21.4"N | 98°41'00.7"E | 2.60 | 2.60 | 44.33 | 8/19/2021 | |
| | Panit Tarangkoon | ALK-KB-014-4 | Aoluk Tai, Aoluk, Krabi | 8°26'11.1"N | 98°40'56.4"E | 0.87 | 0.87 | 0.00 | 8/19/2021 | |
| 15 | Phitchaya Ariyayan | ALK-KB-016-1 | Aoluk Nuea, Aoluk, Krabi | 8°22'11.4"N | 98°45'19.0"E | 5.24 | 5.24 | 89.34 | 8/19/2021 | ALK-KB-016 |
| 16 | Pradit Sawatdee | ALK-KB-017-1* | Aoluk Tai, Aoluk, Krabi | 8°23'43.5"N | 98°42'32.7"E | 5.40 | 5.40 | 92.07 | 7/21/2021 | ALK-KB-017 |
| | Pradit Sawatdee | ALK-KB-017-2 | Aoluk Tai, Aoluk, Krabi | 8°23'55.5"N | 98°42'35.0"E | 3.51 | 3.51 | 59.85 | 7/21/2021 | |
| | Pradit Sawatdee | ALK-KB-017-3 | Aoluk Tai, Aoluk, Krabi | 8°23'31.3"N | 98°42'34.4"E | 3.10 | 3.10 | 52.86 | 7/21/2021 | |
| | Pradit Sawatdee | ALK-KB-017-4 | Aoluk Tai, Aoluk, Krabi | 8°22'35.0"N | 98°43'43.9"E | 0.49 | 0.49 | 8.35 | 7/21/2021 | |
| | Pradit Sawatdee | ALK-KB-017-5 | Aoluk Tai, Aoluk, Krabi | 8°22'59.8"N | 98°43'53.5"E | 0.36 | 0.36 | 6.14 | 7/21/2021 | |
| 17 | Prakhong Sakulsuan | ALK-KB-018-1 | Aoluk Tai, Aoluk, Krabi | 8°22'49.4"N | 98°43'53.0"E | 1.29 | 1.29 | 21.99 | 8/19/2021 | ALK-KB-018 |
| | Prakhong Sakulsuan | ALK-KB-018-2* | Aoluk Tai, Aoluk, Krabi | 8°23'49.6"N | 98°42'58.8"E | 2.12 | 2.12 | 36.15 | 8/19/2021 | |
| 18 | Prasan Manabanyong | ALK-KB-019-1 | Khao Yai, Aoluk, Krabi | 8°26'43.5"N | 98°45'28.3"E | 3.53 | 3.09 | 52.68 | 2/4/2021 | ALK-KB-019 |
| 19 | Prasit Phrmraksa | ALK-KB-020-1* | Aoluk Nuea, Aoluk, Krabi | 8°25'36.7"N | 98°48'32.7"E | 3.26 | 3.26 | 55.58 | 3/15/2021 | ALK-KB-020 |
| 20 | Prateep Chaiyapong | ALK-KB-021-1 | Aoluk Nuea, Aoluk, Krabi | 8°24'20.1"N | 98°46'25.0"E | 3.10 | 3.10 | 52.86 | 6/23/2021 | ALK-KB-021 |
| | Prateep Chaiyapong | ALK-KB-021-2 | Aoluk Nuea, Aoluk, Krabi | 8°24'13.9"N | 98°46'54.2"E | 2.24 | 2.24 | 38.19 | 6/23/2021 | |
| 21 | Prathip Sakunkaeo | ALK-KB-022-1* | Aoluk Nuea, Aoluk, Krabi | 8°25'44.8"N | 98°47'01.4"E | 1.46 | 1.46 | 24.89 | 3/14/2021 | ALK-KB-022 |
| 22 | Pricha Songsaeng | ALK-KB-023-1 | Aoluk Nuea, Aoluk, Krabi | 8°25'52.1"N | 98°47'07.8"E | 5.66 | 5.66 | 96.50 | 3/4/2021 | ALK-KB-023 |
| 23 | Sahai Chuaikhongma | ALK-KB-024-1 | Aoluk Tai, Aoluk, Krabi | 8°25'48.7"N | 98°42'47.4"E | 3.26 | 3.26 | 55.58 | 8/19/2021 | ALK-KB-024 |
| | Sahai Chuaikhongma | ALK-KB-024-2* | Aoluk Tai, Aoluk, Krabi | 8°25'17.1"N | 98°43'10.7"E | 0.56 | 0.56 | 9.55 | 8/19/2021 | |
| 24 | Samran Thaithong | ALK-KB-025-1 | Khao Yai, Aoluk, Krabi | 8°28'20.2"N | 98°44'41.8"E | 1.14 | 1.14 | 19.44 | 6/26/2021 | ALK-KB-025 |
| | Samran Thaithong | ALK-KB-025-2 | Khao Yai, Aoluk, Krabi | 8°29'02.6"N | 98°45'25.0"E | 1.95 | 1.95 | 0.00 | 6/26/2021 | |

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| No | Name of farmer | Plot | Location | GPS Reference | | Area Summary (Ha) | | Forecasted annual FFB Production (MT) | Date of joining | Smallholder ID |
|---|---------------------|---------------|---------------------------------|---------------|---------------|----------------------|---------------|---------------------------------------|-----------------|----------------|
| | | | | Latitude (N) | Longitude (E) | Total Certified Area | Planted Area | | | |
| 25 | Sathit Phmraksa | ALK-KB-026-1 | Aoluk Nuea, Aoluk, Krabi | 8°25'26.6"N | 98°47'56.5"E | 2.65 | 2.65 | 45.18 | 2/20/2020 | ALK-KB-026 |
| 26 | Somnuek Suksaeng | ALK-KB-027-1* | Na Nuea, Aoluk, Krabi | 8°30'09.0"N | 98°40'26.5"E | 1.48 | 1.48 | 25.23 | 7/29/2021 | ALK-KB-027 |
| | Somnuek Suksaeng | ALK-KB-027-2 | Na Nuea, Aoluk, Krabi | 8°30'05.7"N | 98°40'19.8"E | 1.03 | 1.03 | 17.56 | 7/29/2021 | |
| 27 | Somnuk Taktrun | ALK-KB-028-1 | Aoluk Nuea, Aoluk, Krabi | 8°25'19.1"N | 98°44'49.3"E | 3.27 | 3.27 | 55.75 | 2/20/2020 | ALK-KB-028 |
| | Somnuk Taktrun | ALK-KB-028-2 | Aoluk Nuea, Aoluk, Krabi | 8°24'41.3"N | 98°45'48.5"E | 3.52 | 3.52 | 60.02 | 2/20/2020 | |
| | Somnuk Taktrun | ALK-KB-028-3 | Plai Phraya, Plai Phraya, Krabi | 8°28'14.3"N | 98°53'21.5"E | 4.00 | 4.00 | 68.20 | 2/20/2020 | |
| | Somnuk Taktrun | ALK-KB-028-4 | Khlong Ya, Aoluk, Krabi | 8°22'27.9"N | 98°52'16.5"E | 3.97 | 3.97 | 67.69 | 2/20/2020 | |
| 28 | Sunanta Doangyod | ALK-KB-029-1 | Laem Sak, Aoluk, Krabi | 8°20'11.9"N | 98°39'25.9"E | 7.68 | 7.68 | 130.94 | 10/4/2021 | ALK-KB-029 |
| 29 | Sunee Sritape | ALK-KB-030-1* | Aoluk Tai, Aoluk, Krabi | 8°22'46.9"N | 98°43'07.2"E | 0.95 | 0.95 | 16.20 | 7/29/2021 | ALK-KB-030 |
| 30 | Thauaon Thongkop | ALK-KB-031-1 | Aoluk Tai, Aoluk, Krabi | 8°24'44.7"N | 98°42'01.9"E | 5.20 | 5.20 | 88.66 | 8/19/2021 | ALK-KB-031 |
| 31 | Thira Sakunkaeo | ALK-KB-032-1 | Aoluk Nuea, Aoluk, Krabi | 8°25'30.2"N | 98°47'25.1"E | 1.17 | 1.17 | 19.95 | 3/4/2021 | ALK-KB-032 |
| 32 | Weerayut Pummaradee | ALK-KB-033-1 | Aoluk Nuea, Aoluk, Krabi | 8°25'19.1"N | 98°47'09.4"E | 3.92 | 3.92 | 66.84 | 2/20/2020 | ALK-KB-033 |
| 33 | Wirayut Butmata | ALK-KB-034-1 | Khao Yai, Aoluk, Krabi | 8°27'59.4"N | 98°44'45.4"E | 4.15 | 4.14 | 70.59 | 3/12/2021 | ALK-KB-034 |
| | Wirayut Butmata | ALK-KB-034-2 | Khao Yai, Aoluk, Krabi | 8°26'59.7"N | 98°44'59.9"E | 0.80 | 0.80 | 13.64 | 3/12/2021 | |
| Total 33 members, 60 Oil palm plot planted | | | | | | 180.16 | 177.12 | 2,971.82 | | |
| Note: * are smallholders sampled in this audit. | | | | | | | | | | |

Appendix F: List of Abbreviations

| | |
|------------|--|
| a.i | Active Ingredient |
| BOD | Biochemical Oxygen Demand |
| CB | Certification Bodies |
| CHRA | Chemical Health Risk Assessment |
| COD | Chemical Oxygen Demand |
| CPO | Crude Palm Oil |
| CSPO | Certified Sustainable Palm Oil |
| CSPKO | Certified Sustainable Palm Kernel Oil |
| EFB | Empty Fruit Bunch |
| EHS | Environmental, Health and Safety |
| EIA | Environmental Impact Assessment |
| EMS | Environmental Management System |
| FFB | Fresh Fruit Bunch |
| FPIC | Free, Prior, Informed and Consent |
| GAP | Good Agricultural Practice |
| GHG | Greenhouse Gas |
| GMP | Good Manufacturing Practice |
| GPS | Global Positioning System |
| HCV | High Conservation Value |
| IPM | Integrated Pest Management |
| IP | Identity Preserved |
| IS - CSPO | Independent Smallholder Certified Sustainable Palm Oil |
| IS – CSPKO | Independent Smallholder Certified Sustainable Palm Kernel Oil |
| IS – CSPKE | Independent Smallholder Certified Sustainable Palm Kernel Expeller |
| ISCC | International Sustainable Carbon Certification |
| ISS | Independent Smallholder Standard |
| LD50 | Lethal Dose for 50 sample |
| MB | Mass Balance |
| MSDS | Material Safety Data Sheet |
| MT | Metric Tonnes |
| OER | Oil Extraction Rate |
| OSH | Occupational Safety and Health |
| PK | Palm Kernel |
| PKO | Palm Kernel Oil |
| POM | Palm Oil Mill |
| POME | Palm Oil Mill Effluent |
| PPE | Personal Protective Equipment |
| RSPO | Roundtable on Sustainable Palm Oil |
| P&C | Principles & Criteria |
| RTE | Rare, Threatened or Endangered species |
| SCCS | Supply Chain Certification Standard |
| SEIA | Social & Environmental Impact Assessment |
| SIA | Social Impact Assessment |
| SOP | Standard Operating Procedure |